

Ditopology - Why and How.

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- ▶ Motivation - concurrency
- ▶ Ditopology, models: **d-Top**, Cubical sets.
- ▶ Dihomotopy theory: $\vec{\pi}_1$, dcomponents.
- ▶ New results:
 - ▶ Calculation of components (E. Goubault, E. Haucourt)
 - ▶ Tracespaces in a precubical complex (M. Raussen)
 - ▶ A new category convenient for lifting problems (L. Fajstrup, J. Rosicky)
 - ▶ Universal dcoverings as representations of the category $\vec{\pi}_1$. L.F.
- ▶ Conclusions.

- ▶ Vectorfields
- ▶ Relativity theory - light cones
- ▶ Models in control theory
- ▶ Concurrency theory - the main focus here.

Modern computers and programs

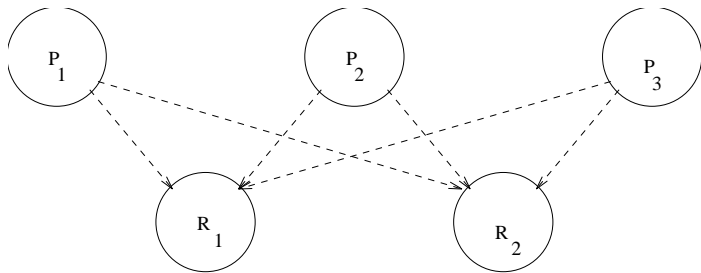
- ▶ Distribute tasks to several processors or computers
- ▶ Interact via shared media: Memory, printers, databases,...

Hence they have =**coordination problems**.

Motivation: Concurrency

Model: Mutual exclusion

n processes P_i compete for m resources R_j .



Only k_j processes can be served by R_j at any given time.

Semaphores!

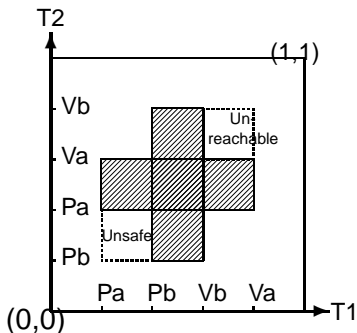
Semantics: A processor has to lock a resource (PR_i) and relinquish (VR_i) the resource later on!

Description/abstraction $P_i : \dots PR_j \dots VR_j \dots$ (Dijkstra)

- ▶ **Verification**: Will the program behave
 - ▶ If there is a “bad” state, is it reachable?
 - ▶ Will all possible executions give a “true” result?
- ▶ **State space explosion**: Number of states grows exponentially in the number of processors - so does the number of executions.
- ▶ **Classification of programs** - hierarchy of programs
“Anything you can do, I can do (better)”
- ▶ **What is a good model?**
- ▶ **What if one processor fails** - can the program finish?

Geometry of PV-programs

The Swiss flag example



PV-diagram from

$P_1 : P_a P_b V_b V_a$

$P_2 : P_b P_a V_a V_b$

Executions are **directed paths** – since time flow is irreversible – avoiding a **forbidden region** (shaded).

Dipaths that are **dihomotopic** correspond to **equivalent** executions.

Deadlocks, unsafe and **unreachable** regions may occur.

Practical Problems are now geometric

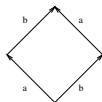
- ▶ Verification: Will the program behave
 - ▶ If there is a “bad” state, is it reachable? **Is there a directed path to it from the initial state(s)**
 - ▶ Will all possible executions give a “true” result? **Study directed paths up to equivalence.**
- ▶ State space explosion. **Now we have infinitely many states - want components!**
- ▶ Classification of programs - hierarchy of programs. **Directed coverings**

A model: Higher dimensional automata, HDA

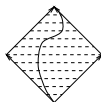
seen as (geometric realizations of) cubical sets

Vaughan Pratt, Rob van Glabbeek, Eric Goubault...

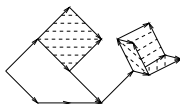
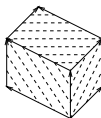
2 processes, 1 processor



2 processes, 3 processors

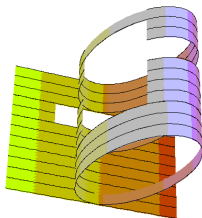


3 processes, 3 processors



cubical complex

bicomplex



Squares/cubes/hypercubes are filled in if actions on the edges are **independent** - no interaction/conflict.

Higher dimensional automata are (pre)-**cubical sets**:

- ▶ like simplicial sets, but modelled on (hyper)cubes instead of simplices; gluing by **face maps** - no degeneracies.
- ▶ additionally: **preferred directions** – not all paths allowed.

Definition

Objects of **d-Top** are **d-Spaces**: $(X, \vec{P}(X))$ where $X \in \mathbf{Top}$, $\vec{P}(X) \subseteq X^I$, the **dipaths**. $\vec{P}(X)$ is

- ▶ closed under concatenation,
- ▶ contains the constant paths
- ▶ closed under subpath, i.e., composition with $f : I \rightarrow I$ increasing but not necessarily surjective.

A **d-map** $f : X \rightarrow Y$ is a continuous map satisfying $\gamma \in \vec{P}(X) \Rightarrow f \circ \gamma \in \vec{P}(Y)$

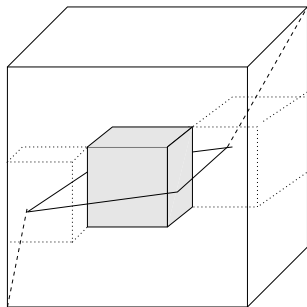
Example: $\vec{I} = (I, \text{increasing paths})$

d-Top contains the geometric models of HDA and PV-programs. And a lot more.

$H : X \times I \rightarrow Y$ is a **Dihomotopy** if all $H(-, t)$ are d-maps.

- ▶ $\vec{\pi}_1(X, x, y) = \vec{P}(X, x, y) / \sim$, where \sim is dihomotopy with fixed endpoints.
- ▶ There may be few or **no directed loops**.
- ▶ **Concatenation**: $\vec{\pi}_1(X, x, y) \times \vec{\pi}_1(X, y, z) \rightarrow \vec{\pi}_1(X, x, z)$
- ▶ **Not a group structure** - dipaths are not reversible.
- ▶ $\vec{\pi}_1(X)$ is a category. Objects: Points. Morphisms: dihomotopy classes of dipaths.
- ▶ **Van Kampen**: $X = \text{int}(X_1) \cup \text{int}(X_2)$, then $\vec{\pi}_1(X)$ is a pushout in Cat of the obvious diagram. (M. Grandis)

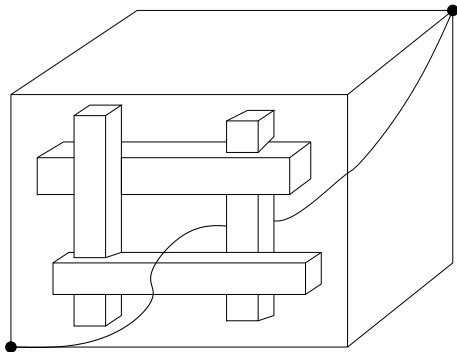
No cancellation rule



“Birth and death” of
d-homotopy classes

Dihomotopy is finer than homotopy with fixed endpoints

Example: Two wedges in the forbidden region



All dipaths from minimum to maximum are homotopic.
A dipath through the “hole” is **not** dihomotopic to a dipath on the boundary.

Dicomponents - without loops

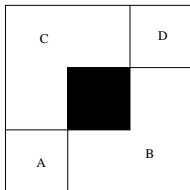
$[\sigma] : x \rightarrow y$ in $\vec{\pi}_1(X)$ induces maps (concatenation)

$$[\sigma]^* : \vec{\pi}_1(X, y, z) \rightarrow \vec{\pi}_1(X, x, z)$$

$$[\sigma]_* : \vec{\pi}_1(X, w, x) \rightarrow \vec{\pi}_1(X, w, y)$$

σ is **weakly invertible** if all such maps are bijections.

- ▶ $W \subset \text{Mor}(\vec{\pi}_1)$ is the set of weakly invertibles.
- ▶ Σ is a subset of W satisfying left and right extension properties as required for a category of fractions. (Plus technical conditions)
- ▶ x and y are in the same component, wrt. Σ if there is a zig zag path $\sigma_1 \sigma_2^{-1}$ from x to y



- ▶ Calculated - program!- for *PV*-programs without loops - E. Goubault, E. Haucourt. → Classification of executions. → Verification.
- ▶ With loops: Components are subsets of $X \times X$, (M.Rausen.)
- ▶ Calculations - Use (di)coverings to unfold the loops.(?)

Trace spaces - much more information

M.Raussen

X a (saturated)¹ **d-space**.

$\varphi, \psi \in \vec{P}(X)(x, y)$ are called **reparametrization equivalent** if there are $\alpha, \beta \in \vec{P}(\vec{I}, 0, 1)$ such that $\varphi \circ \alpha = \psi \circ \beta$ (“same oriented trace”).

(Fahrenberg-Raussen, 07): Reparametrization equivalence is an equivalence relation (transitivity).

$\vec{T}(X)(x, y) = \vec{P}(X)(x, y) / \simeq$, the Trace Space

$\pi_1(X, x, y) = \pi_0(\vec{T}(X)(x, y))$

Higher di-invariants from homology, homotopy, ... of Trace spaces.

¹ $\varphi \circ \alpha \in \vec{P}(X, x, y)$ and $\alpha \in \vec{P}(\vec{I}, 0, 1)$ implies $\varphi \in \vec{P}(X, x, y)$

Calculating Trace Spaces piece by piece (M.Raussen)

Definition

A subset $L \subseteq X$ of a d-space X is called

achronal if all $p \in \vec{P}(L) \subset \vec{P}(X)$ are constant.

order convex if $p^{-1}(L)$ is either an interval or empty for all $p \in \vec{P}(X)$;

unavoidable from $B \subset X$ to $C \subset X$ if $\vec{P}(X \setminus L)(B, C) = \emptyset$.

Theorem

Let X denote a d-space, $x_0, x_1 \in X$ and $L \subset X$ a subspace that is **achronal**, **order convex** and **unavoidable** from x_0 to x_1 .

Then the **concatenation map**

$c_L : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1)$, $(p_0, p_1) \mapsto p_0 * p_1$
is a homeomorphism.

Trace spaces piece by piece

Traces in pre-cubical complexes

Theorem

Let X be (the geometric realization of) a pre-cubical complex. Let $x_0, x_1 \in X$, $L \subset X$ a subcomplex that is order convex and unavoidable from x_0 to x_1 .

Then² the **concatenation map**

$c_L : \vec{T}(X)(x_0, L) \times_L \vec{T}(X)(L, x_1) \rightarrow \vec{T}(X)(x_0, x_1)$, $(p_0, p_1) \mapsto p_0 * p_1$ is a **homotopy equivalence**.

Corollary

If $\vec{T}(X)(x_0, l)$ and $\vec{T}(X)(l, x_1)$ are contractible and locally contractible for every $l \in L \cap [x_0, x_1]$, then

$\vec{T}(X)(x_0, x_1)$ is homotopy equivalent to $L \cap [x_0, x_1]$.

Pieces are trivial, so the topology resides in the gluing. “Huge” trace space identified with “small” space $L \cap [x_0, x_1] \subset X$

²add an extra technical condition

Dicoverings

Let $J = I \times \vec{I} / (s, 0) \sim (0, 0)$

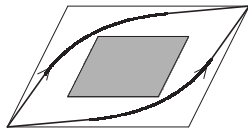
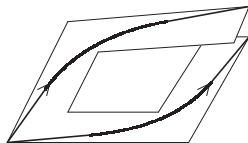
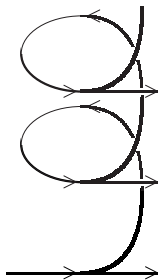
Definition

A d-map $p: Y \rightarrow X$ is a **dicovering**, if for all $H: J \rightarrow X$ there is a **unique** lift \hat{H} for any commutative square as below

$$\begin{array}{ccc} (0, 0) & \longrightarrow & Y \\ \downarrow & \nearrow \hat{H} & \downarrow p \\ J & \xrightarrow{H} & X \end{array}$$

This means **all dipaths** and **all dihomotopies with fixed initial point lift uniquely** given a lift of the initial point.

Two dicoverings-a process with a loop and concurrent processes with a shared object



- ▶ We want universal dcoverings - unfoldings of all directed loops
- ▶ Need a category which contains cubical sets and provides universal dcoverings.

A category generated by a subcategory

Definition

Let \mathbf{D} be a full subcategory of \mathbf{C} .

$\mathbf{C}_{\mathbf{D}}$ is the full subcategory defined by

- ▶ $B \in \mathbf{C}$ is in $\mathbf{C}_{\mathbf{D}}$ if
for all $K \in \mathbf{C}$, $f : UB \rightarrow UK$ lifts to a \mathbf{C} morphism if and only if $f \circ U\phi : UD \rightarrow UK$ lifts for all $D \in \mathbf{D}$ and all $\phi : D \rightarrow B$.
(The cocone of all $\phi : D \rightarrow K$ is U -final.)
- ▶ Here U is the forgetful functor to \mathbf{Set} .

$$\begin{array}{ccccc} D & \xrightarrow{\phi} & B & \dashrightarrow & K \\ U \downarrow & & \downarrow U & & \downarrow U \\ UD & \xrightarrow{U\phi} & UB & \xrightarrow{f} & UK \end{array}$$

The full subcategory \mathcal{B}

Definition

\mathcal{B} is the full subcategory of **d-Top** with

- ▶ objects $I_1 \times I_2 \times \dots \times I_n$ where I_k is either I with the discrete order (i.e., equality) or \vec{I} .
- ▶ The order on $I_1 \times I_2 \times \dots \times I_n$ is the product relation.
- ▶ The dipaths are the increasing paths with respect to this relation.

A convenient category for lifting problems

A d-Space X is in $\mathbf{d-Top}_{\mathcal{B}}$ if

- ▶ $V \subset X$ is open if and only if $\phi^{-1}(V)$ is open for all d-maps $\phi : b \rightarrow X$, where $b \in \mathcal{B}$.

Notice: It suffices to check the discretely ordered cubes, since $I \rightarrow \vec{I}$ is a d-map and a homeomorphism.

There are no requirements on $\vec{P}(X)$, since $\vec{I} \in \mathcal{B}$

Analogue: k -spaces, generated in \mathbf{Top} by compact topological spaces.

Lifting properties

For $f : A \rightarrow B$, $g : C \rightarrow D$, morphisms in a category \mathcal{K} , we write

$$f \square g \quad (f \perp g)$$

if, in each commutative square

$$\begin{array}{ccc} A & \xrightarrow{u} & C \\ f \downarrow & & \downarrow g \\ B & \xrightarrow{v} & D \end{array}$$

there is a (unique) diagonal $d : B \rightarrow C$ with $df = u$ and $gd = v$.

For a class \mathcal{H} of morphisms of \mathcal{K} we put

$$\mathcal{H}^{\square} = \{g \mid f \square g \text{ for each } f \in \mathcal{H}\}, \text{ (e.g. Serrefibrations)}$$

$$\square \mathcal{H} = \{f \mid f \square g \text{ for each } g \in \mathcal{H}\},$$

$$\mathcal{H}^{\perp} = \{g \mid f \perp g \text{ for each } f \in \mathcal{H}\}, \text{ (e.g. coverings)}$$

$$\perp \mathcal{H} = \{f \mid f \perp g \text{ for each } g \in \mathcal{H}\}.$$

Example: $p : Y \rightarrow X$ in **d-Top** is a dicovering if and only if $p \in \{(0,0) \rightarrow J\}^{\perp}$.

Factorization systems

A pair $(\mathcal{L}, \mathcal{R})$ of morphisms of \mathcal{K} is called a **weak factorization system** if

1. $\mathcal{R} = \mathcal{L}^\square, \mathcal{L} = \square\mathcal{R}$

and

- (2) any morphism h of \mathcal{K} has a factorization $h = gf$ with $f \in \mathcal{L}$ and $g \in \mathcal{R}$.

The pair $(\mathcal{L}, \mathcal{R})$ is called a **factorization system** if condition (1) is replaced by

- (1') $\mathcal{R} = \mathcal{L}^\perp, \mathcal{L} = \perp\mathcal{R}$.

For a factorization system, the factorization is **unique up to isomorphism**.

Factorization and universal morphisms

Suppose $(\mathcal{L}, \mathcal{R})$ is a factorization system in \mathcal{K} and $* \in \mathcal{K}$ is an initial object.

Let $* \rightarrow \tilde{X} \rightarrow X$ be the factorization of $* \rightarrow X$.

Then $\Pi : \tilde{X} \rightarrow X$ is **universal in \mathcal{R}** in this sense:

For any $p : Y \rightarrow X \in \mathcal{R}$ there is a unique $t : \tilde{X} \rightarrow Y$ s.t.
 $p \circ t = \Pi$

Reason: Apply unique right lifting property to

$$\begin{array}{ccc} & \xrightarrow{u} & Y \\ \downarrow & \nearrow t & \downarrow p \\ \tilde{X} & \xrightarrow{\Pi} & X \end{array}$$

moreover, $t : \tilde{X} \rightarrow Y$ is in \mathcal{R}

When do we have factorization systems

Theorem

with J.Rosicky.

Let \mathcal{K} be a locally presentable category and \mathcal{C} a set of morphisms of \mathcal{K} . Then $(\text{colim}(\mathcal{C}), \mathcal{C}^\perp)$ is a factorization system in \mathcal{K} .

Theorem

with J.Rosicky.

Let (\mathcal{K}, U) be a fibre-small topological category and let \mathcal{I} be a full small subcategory of \mathcal{K} . Then the category $\mathcal{K}_{\mathcal{I}}$ is locally presentable.

Corollary

For a set \mathcal{C} of morphisms in $\mathbf{d}\text{-Top}_{\mathcal{B}}$, $(\text{colim}(\mathcal{C}), \mathcal{C}^\perp)$ is a factorization system.

Let λ be a regular cardinal.

Definition

A category \mathcal{K} is locally λ presentable if it is cocomplete and there is a set \mathcal{A} of λ presentable objects of \mathcal{K} s.t. for all $K \in \mathcal{K}$, there is a λ -directed poset (each subset of cardinality smaller than λ has an upper bound) and an associated diagram of objects from \mathcal{A} with colimit K . (K is a λ directed colimit of objects in \mathcal{A} .)

\mathcal{K} is locally presentable, if it is locally λ presentable for some λ .

Definition

An object A of \mathcal{K} is λ presentable if $hom(A, -)$ preserves λ directed colimits.

pd-Top is the category of **pointed d-spaces**:

- ▶ $(X, x) \in \mathbf{pd-Top}_B$ if $X \in \mathbf{d-Top}_B$, $x \in X$.
- ▶ $f : (Y, y) \rightarrow (X, x)$, a pointed d-map is a dicovering if the corresponding d-map is a dicovering.
- ▶ $(*, *)$ is an initial object in **pd-Top**, so there is a universal dicovering $(\tilde{X}, \tilde{x}) \rightarrow (X, x)$ of every pd-Space X .

Dicoverings in general could be huge spaces: Let (W, w) be a discretely ordered space. Then $pr_2 : (W \times X, (w, x)) \rightarrow (X, x)$ is a dicovering.

In $\mathbf{d-Top}_B$, \emptyset is initial and $\emptyset \rightarrow X$ is a dicovering, hence universal.

Well pointed d-spaces

The **future** of a point:

$$\uparrow_X x_0 = \{x \in X \mid \exists \gamma : \vec{I} \rightarrow X, \gamma(0) = x_0, \gamma(1) = x\}$$

Definition

A pointed d-space (X, x_0) is **well pointed**, $(X, x_0) \in \mathbf{wpd-Top}$, if $X = \uparrow_X x_0$.

- ▶ Let $p : (Y, y_0) \rightarrow (X, x_0)$ be a dicovering, $(X, x_0) \in \mathbf{wpd-Top}$, then $p : (\uparrow_Y y_0, y_0) \rightarrow (X, x_0)$ is a dicovering in **wpd-Top**.
- ▶ Let $\Pi : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be the universal dicover in **pd-Top**. Then $\Pi : (\uparrow_{\tilde{X}} \tilde{x}_0, \tilde{x}_0) \rightarrow (X, x_0)$ is the universal dicover in **wpd-Top**.

The universal dicoverings of a fixed space

Let $X \in \mathbf{d-Top}_B$, $x \in X$

- ▶ $X_x = \uparrow_x \mathbf{x} \in \mathbf{wpd-Top}_B$
- ▶ $\Pi_x : \tilde{X}_x \rightarrow X_x$ the universal dicover.
- ▶ For $y \in X_x$, $i_{yx} : X_y \rightarrow X_x$ the inclusion.

Definition

$f : \tilde{X}_y \rightarrow \tilde{X}_x$ is a dicovering morphism if it preserves fibers:

$$\Pi_x \circ f = i_{yx} \circ \Pi_y$$

Dicovering morphisms

For $[\sigma] \in \vec{\pi}_1(X, x, y)$ we define $[\tilde{\sigma}] : \tilde{X}_y \rightarrow \tilde{X}_x$:
Let $\tilde{\sigma}$ be the unique lift of σ to \tilde{X}_x . Then

$$\Pi_x : \uparrow_{\tilde{X}_x} \tilde{\sigma}(1) \rightarrow X_y$$

is a dicovering.

Hence there is a d-map

$$\tilde{X}_y \rightarrow \uparrow_{\tilde{X}_x} \tilde{\sigma}(1)$$

compose with the inclusion to get $[\tilde{\sigma}] : \tilde{X}_y \rightarrow \tilde{X}_x$

Another description of $[\tilde{\sigma}]$:

for $[\gamma] \in \tilde{X}_y$,

$$[\tilde{\sigma}]([\gamma]) = [\gamma * \sigma]$$

Theorem

These are the only dcovering morphisms. Hence we get a representation of $\vec{\pi}_1(X)$.

- ▶ There is a “boxification” functor $B : \mathbf{d-Top} \rightarrow \mathbf{d-Top}_B$, right adjoint to the inclusion. $B(X)$ adds more opens to X .
- ▶ Boxification preserves dicoverings; dipaths and dihomotopies to X are dipaths and dihomotopies in BX
- ▶ The universal dicovering as a set is $\tilde{X}_{x_0} = \{[\gamma] \mid \gamma : \vec{I} \rightarrow X, \gamma(0) = x_0\}$ - projection is the endpoint map.

- ▶ Ditopology has applications, and is a subject in itself.
- ▶ Directions make life easier in some cases - there are not as many dipaths. And harder in some cases - lack of group structure.
- ▶ Methods from nondirected algebraic topology usually need a twist to apply.
- ▶ Still much to do - calculations!