

Comparative Smoothology

Workshop on Loops, Strings and Moduli Spaces,
Chern Institute of Math, Tianjin, China

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Trondheim

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Contents

1. Smootheology

Aims:

- 1.1 Motivate generalising manifolds.
- 1.2 Frölicher spaces are “obvious” generalisation of manifolds.

2. Comparative

Aim:

- 2.1 Show how the various categories of “smooth objects” fit together.
- 2.2 What is special about Frölicher spaces.

There now follows a party political
broadcast
for the

Frölicher Party

Manifolds

Manifolds are great, it's a pity more things aren't manifolds.

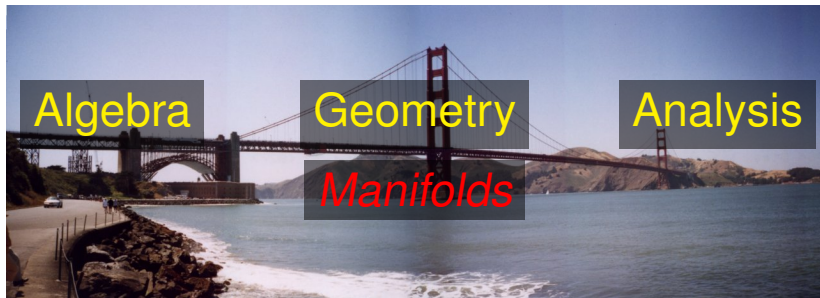
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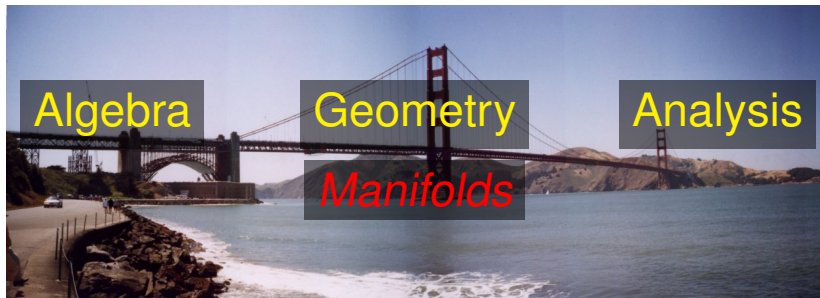
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Manifolds

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Goal: build a wider bridge.

Extending the Foundations

Question

What is a manifold?

Extending the Foundations

Question

What is a manifold?

Answer

A **locally nice** space.

Extending the Foundations

Question

What is a manifold?

Answer

Locally diffeomorphic to a model space.

Extending the Foundations

Question

What is a manifold?

Answer

Locally diffeomorphic to a model space.

Question

How do we extend “manifolds”?

Extending the Foundations

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Answer

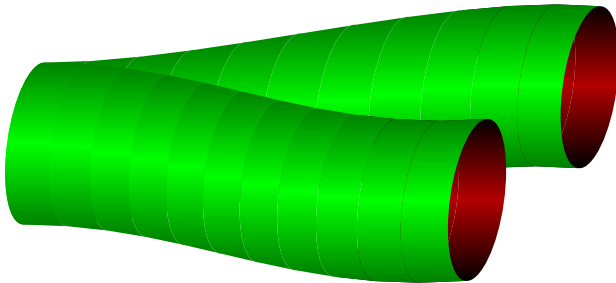
Find more models.

Manifolds with . . .

Want to study cobordisms.

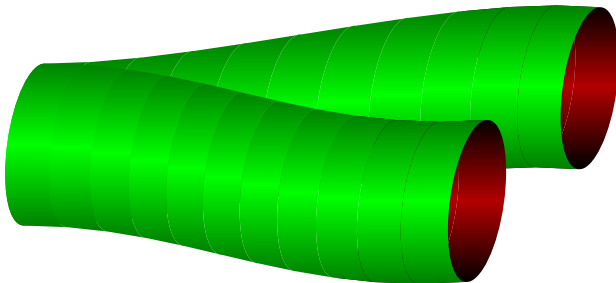
Manifolds with Boundary

Want to study cobordisms.



Manifolds with Boundary

Want to study cobordisms.



New model: half spaces.

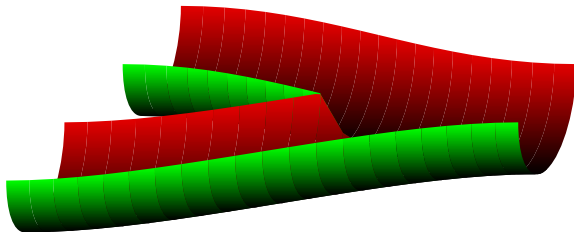


Manifolds with . . .

Want to study cobordisms of cobordisms.

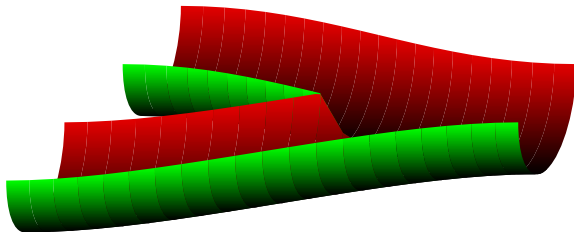
Manifolds with Corners

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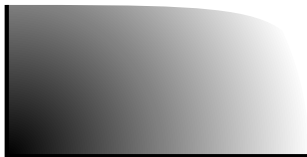


Manifolds with Corners

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New model: quadrants.

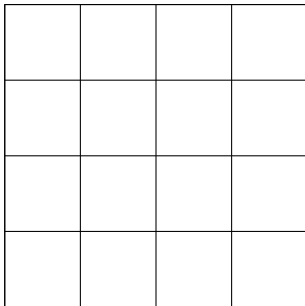


Manifolds with . . .

Want to study smash products.

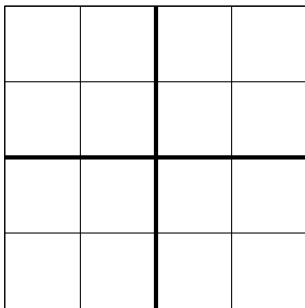
Manifolds with ...

Want to study smash products.



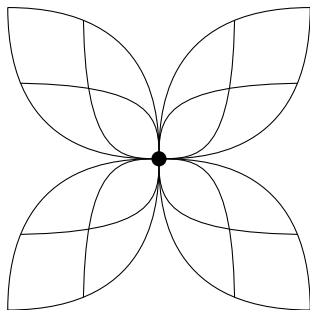
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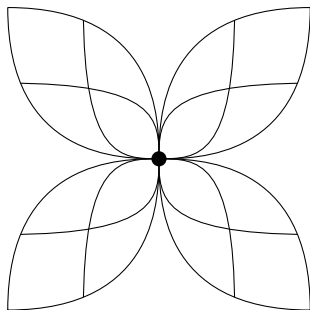
Manifolds with ...

Want to study smash products.



Manifolds with Singularities

Want to study smash products.



New model: singular points.

Interlude

The models get more complicated faster than the spaces.

Interlude

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The only thing capable of modelling the universe is

Interlude

The models get more complicated faster than the spaces.

The only thing capable of modelling the universe is the universe itself.

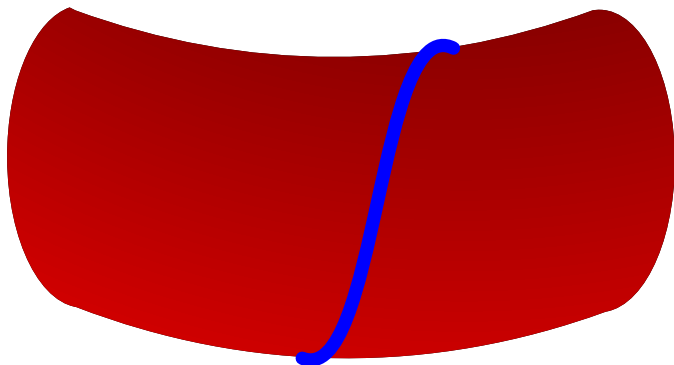
D. Hume

... Manifolds

Want to study loop spaces.

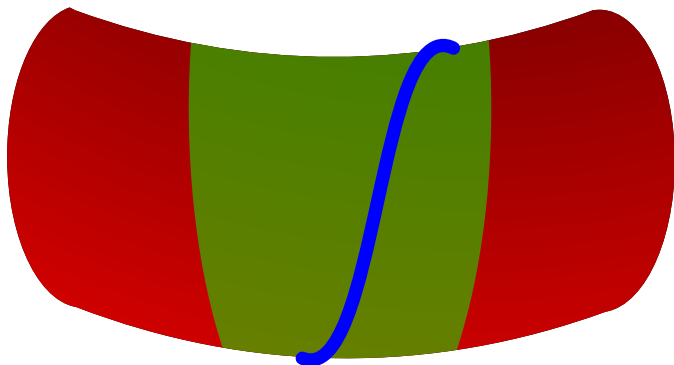
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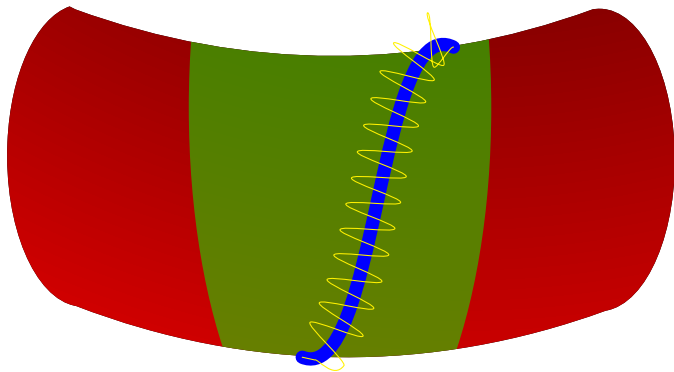
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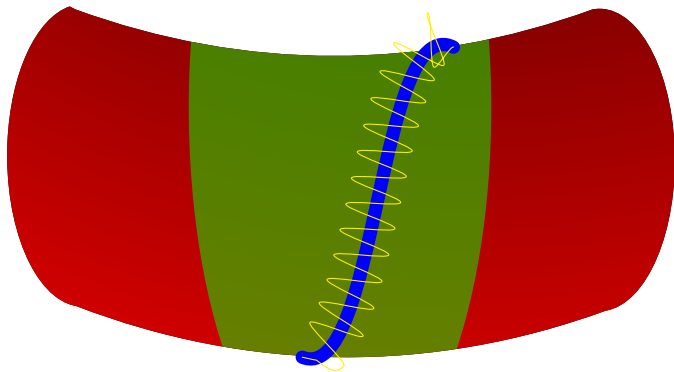
... Manifolds

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Infinite Dimensional Manifolds

Want to study loop spaces.



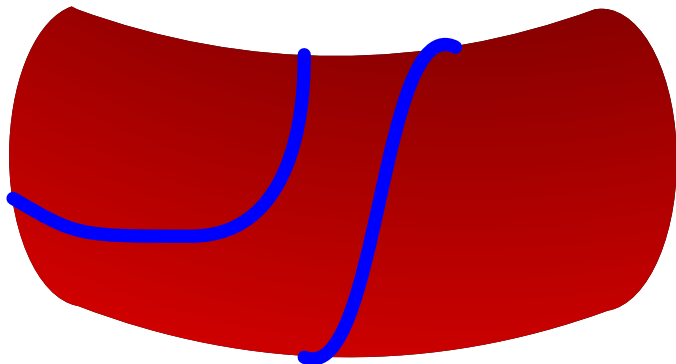
New model: loops in \mathbb{R}^n .

Manifolds

Want to study path spaces.

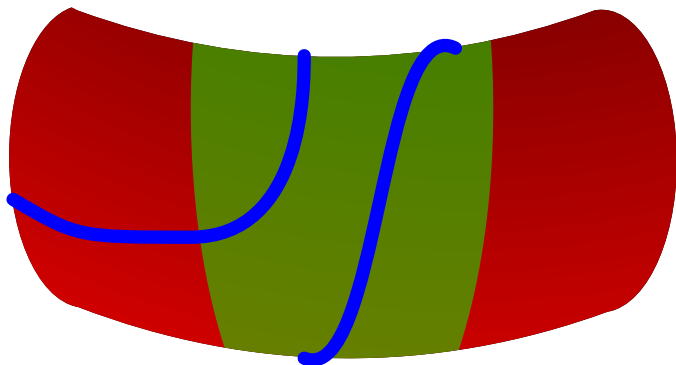
Manifolds

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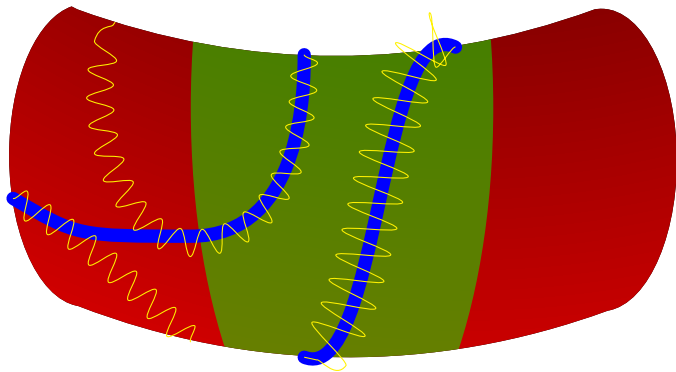
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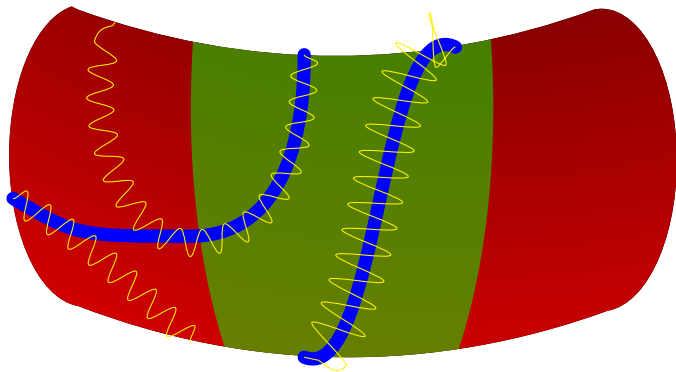
Manifolds

Want to study path spaces.



Not Manifolds at all

Want to study path spaces.



No good local models.

Back to the Drawing Board

Question

How do we extend “manifolds”?

Back to the Drawing Board

Question

How do we extend “manifolds”?

Answer

What answer do we want?

Back to the Drawing Board

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How do we extend “manifolds”?

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What answer do we want?

Should have:

- ▶ Subobjects
- ▶ Quotients
- ▶ Mapping spaces

Back to the Drawing Board

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How do we extend “manifolds”?

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What answer do we want?

Should have:

- ▶ Subobjects
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All **Categorical** in nature.

The Search

Looking for a:

C^5 :

The Search

Looking for a:

C^5 :
complete,
co-complete,
cartesian closed
category.

The Search

Looking for a:

C^5 :
complete,
co-complete,
cartesian closed
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Guiding principle:

The Smooth,

The Search

Looking for a:

C^5 :
complete,
co-complete,
cartesian closed
category.

Guiding principle:

The Smooth,
the Whole Smooth,

The Search

Looking for a:

C^5 :
complete,
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category.

Guiding principle:

The Smooth,
the Whole Smooth,
and Nothing But the Smooth.

The Smooth

Building a Category

Question

What is a manifold?

Building a Category

Question

What is a manifold?

Categorical Answer

The structure needed to define smooth maps.

Building a Category

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A category has

Objects

and

Morphisms

Building a Category

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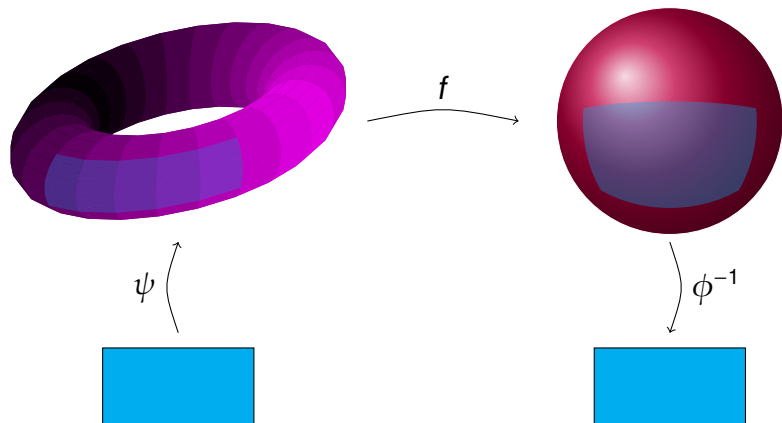
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Morphism of Manifolds



$f: M \rightarrow N$ smooth if $\phi^{-1}f\psi$ is C^∞

The Role of the Charts

- ▶ Charts **control** smooth structure

The Role of the Charts

- ▶ Charts control smooth structure
- ▶ Charts provide **tests** or **probes**

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The role of **charts** is to transport the question of smoothness to **familiar** spaces.

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Replace **local models** by **test spaces**.

The Role of the Charts

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The role of charts is to transport the question of smoothness to familiar spaces.

Replace local models by test spaces.

Retain:

$$\begin{aligned} \mathcal{I}(U) &= \{\psi: \mathbb{R}^m \supseteq U \rightarrow M\} && \text{input test functions} \\ \mathcal{O}(V; \mathbb{R}^m) &= \{\phi: M \supseteq V \rightarrow \mathbb{R}^m\} && \text{output test functions} \end{aligned}$$

The Role of the Charts

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Look Ma! No homeomorphisms!

First Candidate

Definition (First Attempt)

A **smooth space** is a triple $(X, \mathcal{I}, \mathcal{O})$ where:

- ▶ X is a **topological space**
- ▶ $\mathcal{I}(U) \subseteq \text{Top}(U, X)$, $U \subseteq \mathbb{R}^m$ open,
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A **morphism** is a continuous map $f: X \rightarrow Y$ such that

$$\phi f \psi \text{ is } C^\infty \text{ for } \psi \in \mathcal{I}(U), \phi \in \mathcal{O}(V; \mathbb{R}^m)$$

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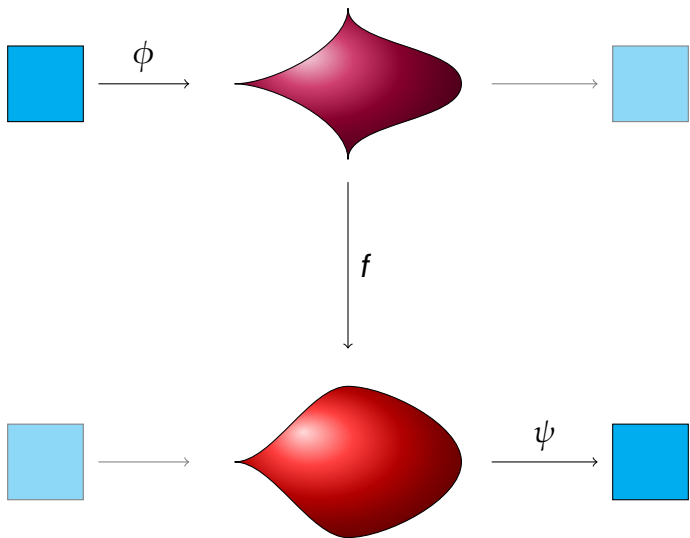
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Notation:

- ▶ smooth map = morphism
- ▶ $\psi \in \mathcal{I}$, $\phi \in \mathcal{O}$, $\theta \in C^\infty$

Morphisms



Categorical Construct?

1. Identities:

$1_X: X \rightarrow X$ must be smooth.

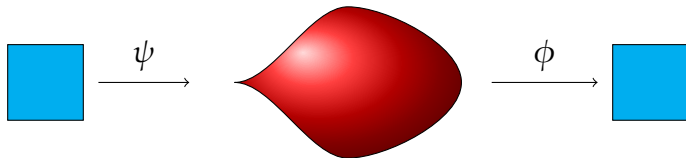
$$\psi \in \mathcal{I}, \phi \in \mathcal{O} \text{ then } \phi\psi = \phi 1_X \psi \in \mathcal{C}^\infty$$

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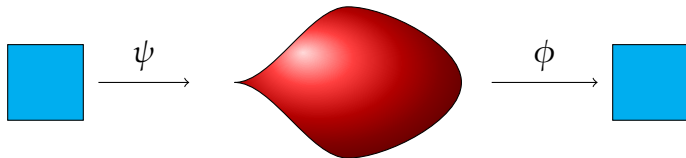


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Compatibility Condition

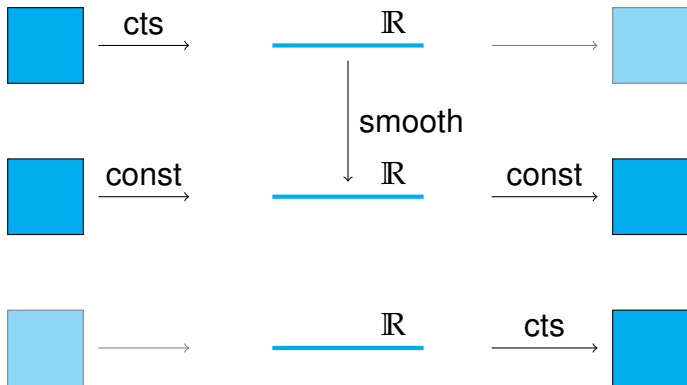
Categorical Construct?

2. Composition:



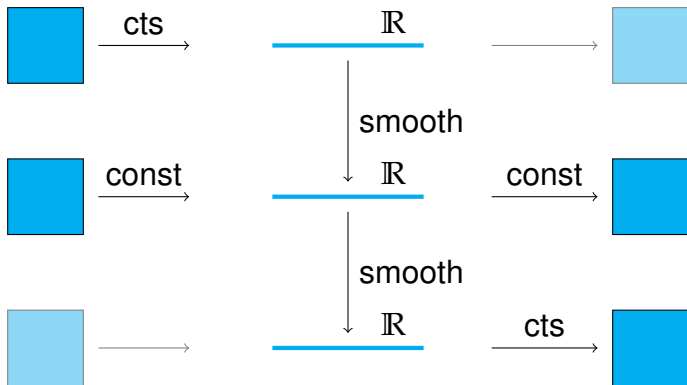
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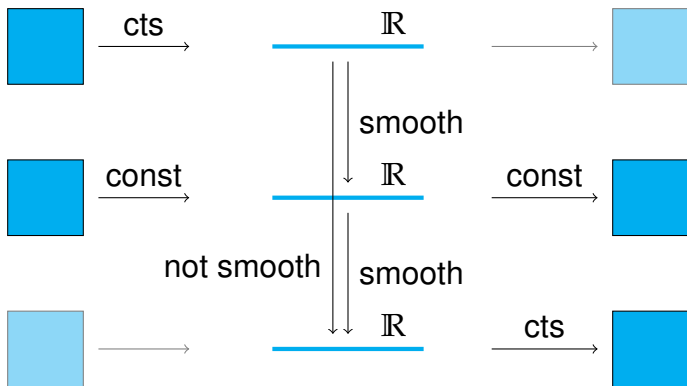
Categorical Construct?

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Composition

Definition

The **completed** inputs and outputs are

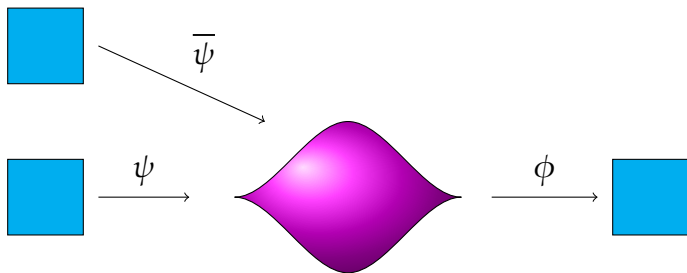
1. $\bar{\mathcal{I}}(U) = \{\bar{\psi} : U \rightarrow X : \phi\bar{\psi} \in \mathcal{C}^\infty, \phi \in \mathcal{O}\}$
2. $\bar{\mathcal{O}}(V; \mathbb{R}^m) = \{\bar{\phi} : V \rightarrow \mathbb{R}^m : \bar{\phi}\psi \in \mathcal{C}^\infty, \psi \in \mathcal{I}\}$

Composition

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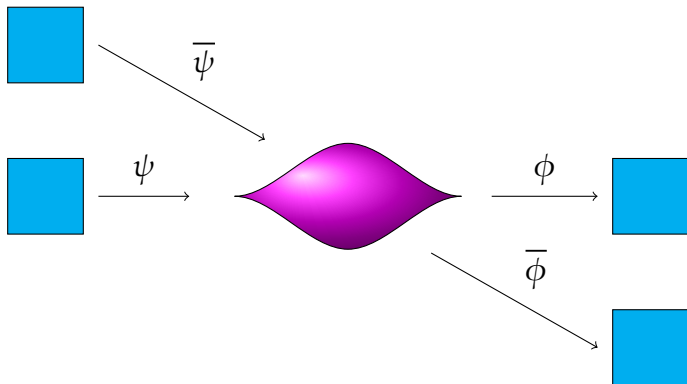
Proposition

*Composition is well-defined for smooth objects where (\bar{I}, \bar{O}) satisfies **compatibility**.*

Composition

Proposition

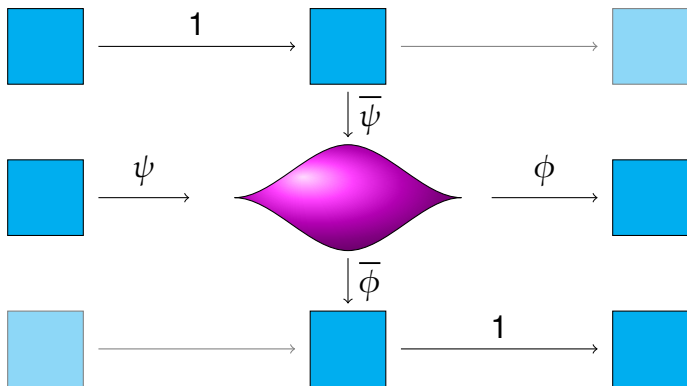
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A **morphism** is a continuous map $f: X \rightarrow Y$ such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

Second Candidate

Definition (Second Attempt)

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such that

- ▶ \mathcal{I} and \mathcal{O} are **compatible**,
- ▶ $\bar{\mathcal{I}}$ and $\bar{\mathcal{O}}$ are **also compatible**.

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The Whole Smooth

Too Many Smooth Spaces Spoil The Category

Lemma

$(X, \mathcal{I}, \mathcal{O})$ smooth space then $(X, \overline{\mathcal{I}}, \overline{\mathcal{O}})$ also smooth space
and moreover

$$1_X: (X, \mathcal{I}, \mathcal{O}) \rightarrow (X, \overline{\mathcal{I}}, \overline{\mathcal{O}})$$

is an isomorphism.

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is an isomorphism.

Proof.

1. $\overline{\overline{\mathcal{I}}} = \overline{\mathcal{I}}$ and $\overline{\overline{\mathcal{O}}} = \overline{\mathcal{O}}$

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Proof.

1. $\overline{\overline{\mathcal{I}}} = \overline{\mathcal{I}}$ and $\overline{\overline{\mathcal{O}}} = \overline{\mathcal{O}}$
2. $\phi\psi \in \mathbf{C}^\infty$ for $\phi \in \overline{\mathcal{O}}, \psi \in \mathcal{I}$ and for $\phi \in \mathcal{O}, \psi \in \overline{\mathcal{I}}$ □

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A **morphism** is a continuous map $f: X \rightarrow Y$ such that

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Third Candidate

Definition (Third Attempt)

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such that

- ▶ \mathcal{I} and \mathcal{O} are compatible,
- ▶ \mathcal{I} and \mathcal{O} are **saturated**: $\mathcal{I} = \overline{\mathcal{I}}$, $\mathcal{O} = \overline{\mathcal{O}}$.

A **morphism** is a continuous map $f: X \rightarrow Y$ such that

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Analogy

2nd Definition
versus
3rd Definition

smooth atlas
versus
maximal smooth atlas

Lemma

$(X, \mathcal{I}, \mathcal{O})$ is completely determined by

- ▶ $\mathcal{O}(V; \mathbb{R})$
- ▶ $\mathcal{I}(\mathbb{R})$

Detox

Lemma

$(X, \mathcal{I}, \mathcal{O})$ is completely determined by

- ▶ $\mathcal{O}(V; \mathbb{R})$
- ▶ $\mathcal{I}(\mathbb{R})$

Proof.

1. $\phi: U \rightarrow \mathbb{R}^m$ is C^∞ if and only if each $p_i \phi: U \rightarrow \mathbb{R}$ is C^∞ , p_i projection

Detox

Lemma

$(X, \mathcal{I}, \mathcal{O})$ is completely determined by

- ▶ $\mathcal{O}(V; \mathbb{R})$
- ▶ $\mathcal{I}(\mathbb{R})$

Proof.

1. $\phi: U \rightarrow \mathbb{R}^m$ is C^∞ if and only if each $p_i \phi: U \rightarrow \mathbb{R}$ is C^∞ , p_i projection
2. $\psi: U \rightarrow \mathbb{R}^m$ is C^∞ if and only if each $\psi \gamma: \mathbb{R} \rightarrow \mathbb{R}^m$ is C^∞ , $\gamma \in C^\infty(\mathbb{R}, U)$ [Boman's Theorem] □

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Fourth Candidate

Definition (Fourth Attempt)

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- ▶ $\mathcal{I} \subseteq \text{Top}(\mathbb{R}, X)$,
- ▶ $\mathcal{O}(V) \subseteq \text{Top}(V, \mathbb{R})$, $V \subseteq X$ open.

such that

- ▶ \mathcal{I} and \mathcal{O} are compatible,
- ▶ \mathcal{I} and \mathcal{O} are saturated: $\mathcal{I} = \overline{\mathcal{I}}$, $\mathcal{O} = \overline{\mathcal{O}}$.

A **morphism** is a continuous map $f: X \rightarrow Y$ such that

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Nothing But The Smooth

Chicken and Egg

Question

Is “Smooth” built **on top** of “Continuous” or **alongside**?

Egg and Chicken

Question

Is “Smooth” built **on top** of “Continuous” or **alongside**?

- ▶ Topology **only** used for **local** functions.

Egg and Chicken

Question

Is “Smooth” built **on top** of “Continuous” or **alongside**?

- ▶ Topology only used for local functions.
- ▶ Can get topology from \mathcal{I} and \mathcal{O} .

Egg and Chicken

Question

Is “Smooth” built **on top** of “Continuous” or **alongside**?

- ▶ Topology only used for local functions.
- ▶ Can get topology from \mathcal{I} and \mathcal{O} .
- ▶ Topology from \mathcal{O} has **bump functions**.

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Is “Smooth” built **on top** of “Continuous” or **alongside**?

- ▶ Topology only used for local functions.
- ▶ Can get topology from \mathcal{I} and \mathcal{O} .
- ▶ Topology from \mathcal{O} has bump functions.
- ▶ **Local** functions extend **globally**.

Fourth Candidate

Definition (Fourth Attempt)

A **smooth space** is a triple $(X, \mathcal{I}, \mathcal{O})$ where:

- ▶ X is a topological space
- ▶ $\mathcal{I} \subseteq \text{Top}(\mathbb{R}, X)$,
- ▶ $\mathcal{O}(V) \subseteq \text{Top}(V, \mathbb{R})$, $V \subseteq X$ open.

such that

- ▶ \mathcal{I} and \mathcal{O} are compatible,
- ▶ \mathcal{I} and \mathcal{O} are saturated: $\mathcal{I} = \overline{\mathcal{I}}$, $\mathcal{O} = \overline{\mathcal{O}}$.

A **morphism** is a continuous map $f: X \rightarrow Y$ such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

Fifth Candidate

Definition (Fifth Attempt)

A **smooth space** is a triple $(X, \mathcal{I}, \mathcal{O})$ where:

- ▶ X is a **set**
- ▶ $\mathcal{I} \subseteq \text{Set}(\mathbb{R}, X)$,
- ▶ $\mathcal{O} \subseteq \text{Set}(X, \mathbb{R})$.

such that

- ▶ \mathcal{I} and \mathcal{O} are compatible,
- ▶ \mathcal{I} and \mathcal{O} are saturated: $\mathcal{I} = \overline{\mathcal{I}}$, $\mathcal{O} = \overline{\mathcal{O}}$.

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Fifth Candidate: Frölicher Space

Definition (Frölicher Space)

A **Frölicher space** is a triple (X, C, \mathcal{F}) where:

- ▶ X is a set
- ▶ $C \subseteq \text{Set}(\mathbb{R}, X)$,
- ▶ $\mathcal{F} \subseteq \text{Set}(X, \mathbb{R})$.

such that

- ▶ $C = \{\psi: \mathbb{R} \rightarrow X : \phi\psi \in C^\infty(\mathbb{R}, \mathbb{R}), \phi \in \mathcal{F}\}$
- ▶ $\mathcal{F} = \{\phi: X \rightarrow \mathbb{R} : \phi\psi \in C^\infty(\mathbb{R}, \mathbb{R}), \psi \in C\}$

A **morphism** is a map $f: X \rightarrow Y$ such that

$$\phi f\psi \in C^\infty \text{ for } \psi \in C, \phi \in \mathcal{F}$$

Smoothology

Theorem (Frölicher)

*The category of Frölicher spaces is a
complete, co-complete, cartesian closed category.*

Which was what we wanted!

Smoothology

Theorem (Frölicher)

The category of Frölicher spaces is a

C^5 .

$W^5!$

Smootheology

Theorem (Frölicher)

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$W^5!$

Conclusion (Part I)

By focussing on **morphisms** and looking for **simplicity** we found a natural path to **Frölicher spaces**.

Comparative

- ▶ Frölicher spaces are not the only candidate.

Comparative

- ▶ Frölicher spaces are not the only candidate.
- ▶ Other versions:
 - K. T. Chen 4 versions!
 - J. M. Souriau diffeological spaces
 - J. W. Smith
 - R. Sikorski
 - D. Spivak derived smooth manifolds
 - J. Lurie structured space
 - A. Kriegel and P. Michor
 - M. Kreck stratifold
 - H. Hofer polyfold
 - orbifold
 - differentiable stack
- More?

Comparitive

Use

- ▶ local models
- ▶ test spaces
- ▶ both

Comparative

Use

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- ▶ test spaces
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In *Comparative Smoothology* looked at:

Test spaces + set-based

Frölicher, Chen, Souriau, Smith, Sikorski.

Schematic

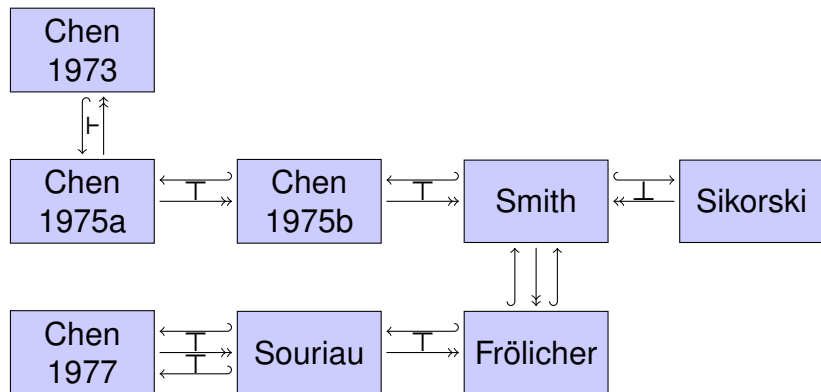


Figure: The relationships between the categories

Characteristics of Frölicher Spaces

Cons

- ▶ Not locally cartesian closed
- ▶ Not easy to make non-set based

Characteristics of Frölicher Spaces

Pros

- ▶ Inclusion of manifolds is limit and colimit preserving.
(into *Hausdoff* Frölicher spaces)
- ▶ “Smallest” extension

What Next?

- ▶ What of differential topology/geometry extends to Frölicher spaces?
- ▶ What extra structure is needed for those bits that don't extend?

Example

Tangent “spaces” extend but are not vector spaces.
Need extra structure to get addition.

Conclusion

- ▶ Frölicher spaces arise by taking seriously the notion of a morphism of smooth manifolds.

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- ▶ Examining what does and does not extend sheds light on the role of **smoothness** in differential topology.

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Further Information

My homepage

Andrew Stacey NTNU

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