

# Comparative Smootheology

Workshop on Loops, Strings and Moduli Spaces, Chern  
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## Contents

### 1. Smootheology

#### Aims:

- 1.1 Motivate generalising manifolds.
- 1.2 Frölicher spaces are “obvious” generalisation of manifolds.

### 2. Comparative

#### Aim:

- 2.1 Show how the various categories of “smooth objects” fit together.
- 2.2 What is special about Frölicher spaces.

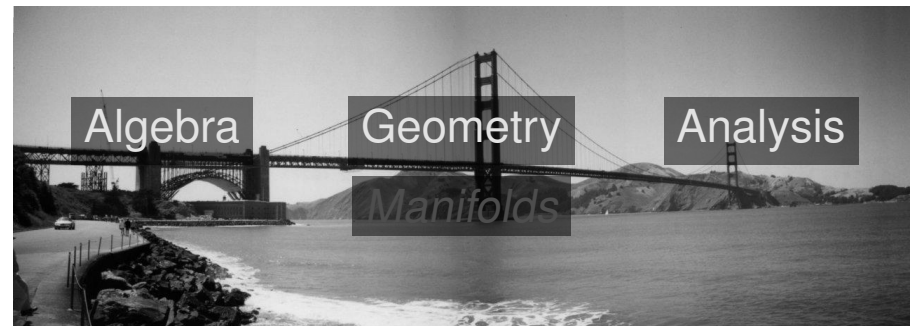
## Smootheology

There now follows a party political broadcast  
for the

# Frölicher Party

## Manifolds

*Manifolds are great, it's a pity more things  
aren't manifolds.*



**Goal:** build a wider bridge.

## Extending the Foundations

### Question

What is a manifold?

### Answer

Locally diffeomorphic to a model space.

### Question

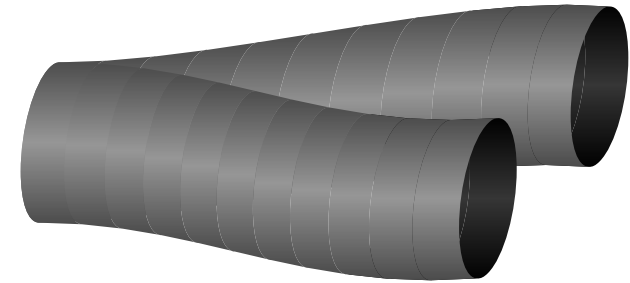
How do we extend “manifolds”?

### Answer

Find more models.

## Manifolds with Boundary

Want to study cobordisms.

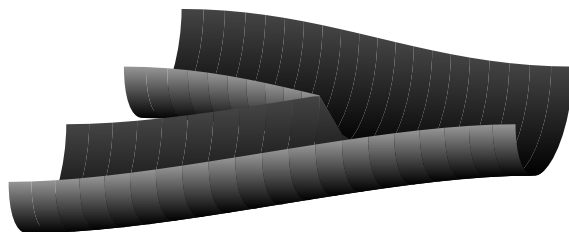


New model: half spaces.

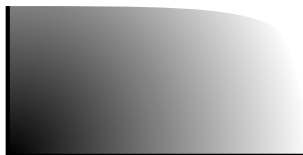


## Manifolds with Corners

Want to study cobordisms of cobordisms.

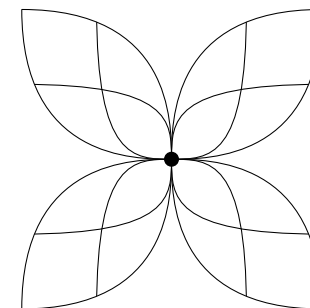


New model: quadrants.



## Manifolds with Singularities

Want to study smash products.



New model: singular points.

## Interlude

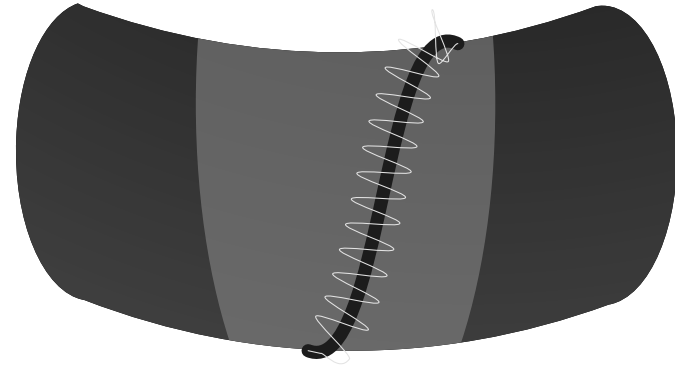
The models get more complicated faster than the spaces.

*The only thing capable of modelling the universe is the universe itself.*

*D. Hume*

## Infinite Dimensional Manifolds

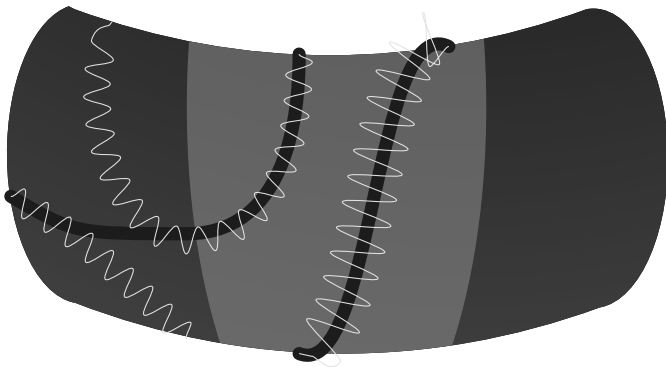
Want to study loop spaces.



New model: loops in  $\mathbb{R}^n$ .

## Not Manifolds at all

Want to study path spaces.



No good local models.

## Back to the Drawing Board

### Question

How do we extend “manifolds”?

### Answer

What answer do we want?

Should have:

- ▶ Subobjects
- ▶ Quotients
- ▶ Mapping spaces

All **Categorical** in nature.

## The Search

Looking for a:

$C^5$ :  
complete,  
co-complete,  
cartesian closed  
category.

Guiding principle:

The Smooth,  
the Whole Smooth,  
and Nothing But the Smooth.

## The Smooth: Building a Category

### Question

What is a manifold?

### Categorical Answer

The structure needed to define smooth maps.

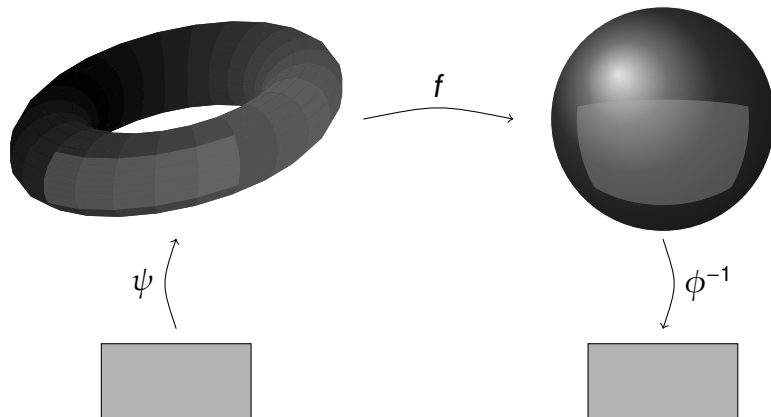
A category has

Objects

and

# Morphisms

## Morphism of Manifolds



$f: M \rightarrow N$  smooth if  $\phi^{-1}f\psi$  is  $C^\infty$

## The Role of the Charts

- ▶ Charts **control** smooth structure
- ▶ Charts provide **tests** or **probes**
- ▶ Map is **smooth** if it looks smooth when we **test** it

The role of **charts** is to transport the question of smoothness to **familiar** spaces.

Replace **local models** by **test spaces**.

Retain:

$$\begin{aligned} \mathcal{I}(U) &= \{\psi: \mathbb{R}^m \supseteq U \rightarrow M\} && \text{input test functions} \\ \mathcal{O}(V; \mathbb{R}^m) &= \{\phi: M \supseteq V \rightarrow \mathbb{R}^m\} && \text{output test functions} \end{aligned}$$

**Note: not homeomorphisms**

# First Candidate

## Definition (First Attempt)

A **smooth space** is a triple  $(X, \mathcal{I}, \mathcal{O})$  where:

- ▶  $X$  is a **topological space**
- ▶  $\mathcal{I}(U) \subseteq \text{Top}(U, X)$ ,  $U \subseteq \mathbb{R}^m$  open,
- ▶  $\mathcal{O}(V; \mathbb{R}^m) \subseteq \text{Top}(V, \mathbb{R}^m)$ ,  $V \subseteq X$  open.

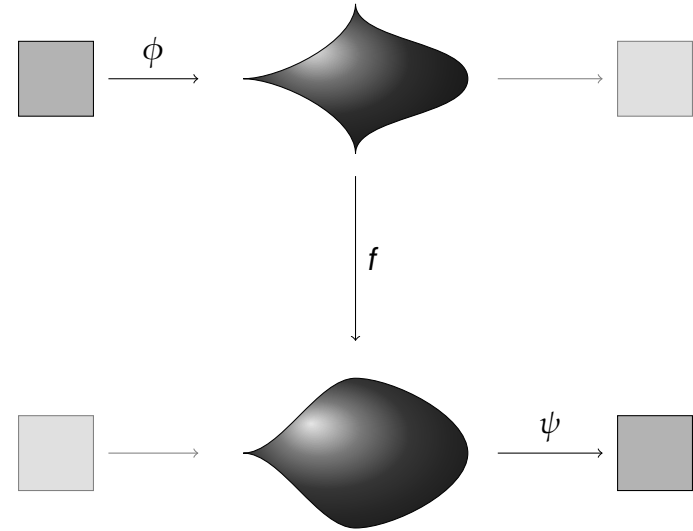
A **morphism** is a continuous map  $f: X \rightarrow Y$  such that

$$\phi f \psi \text{ is } C^\infty \text{ for } \psi \in \mathcal{I}(U), \phi \in \mathcal{O}(V; \mathbb{R}^m)$$

Notation:

- ▶ smooth map = morphism
- ▶  $\psi \in \mathcal{I}$ ,  $\phi \in \mathcal{O}$ ,  $\theta \in C^\infty$

# Morphisms



# Categorical Construct?

## 1. Identities:

$1_X: X \rightarrow X$  must be smooth.

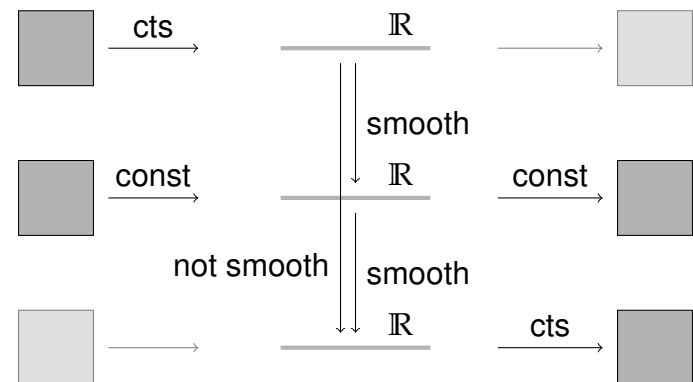
$$\psi \in \mathcal{I}, \phi \in \mathcal{O} \text{ then } \phi \psi = \phi 1_X \psi \in C^\infty$$



**Compatibility Condition**

# Categorical Construct?

## 2. Composition:

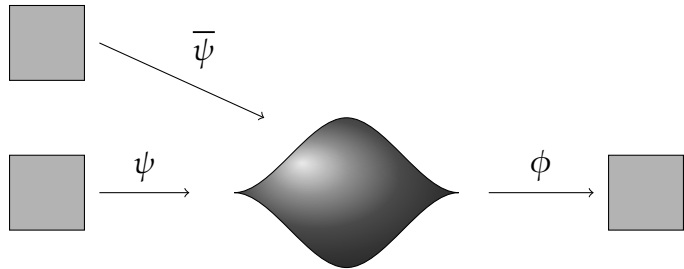


# Composition

## Definition

The **completed** inputs and outputs are

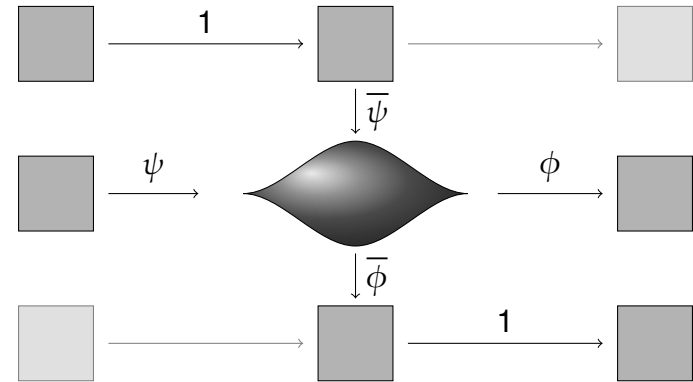
- $\bar{\mathcal{I}}(U) = \{\bar{\psi}: U \rightarrow X : \phi\bar{\psi} \in C^\infty, \phi \in \mathcal{O}\}$
- $\bar{\mathcal{O}}(V; \mathbb{R}^m) = \{\bar{\phi}: V \rightarrow \mathbb{R}^m : \bar{\phi}\psi \in C^\infty, \psi \in \mathcal{I}\}$



# Composition

## Proposition

Composition is well-defined for smooth objects where  $(\bar{\mathcal{I}}, \bar{\mathcal{O}})$  satisfies **compatibility**.



# Second Candidate

## Definition (Second Attempt)

A **smooth space** is a triple  $(X, \mathcal{I}, \mathcal{O})$  where:

- $X$  is a **topological space**
- $\mathcal{I}(U) \subseteq \text{Top}(U, X)$ ,  $U \subseteq \mathbb{R}^m$  open,
- $\mathcal{O}(V; \mathbb{R}^m) \subseteq \text{Top}(V, \mathbb{R}^m)$ ,  $V \subseteq X$  open.

such that

- $\mathcal{I}$  and  $\mathcal{O}$  are **compatible**,
- $\bar{\mathcal{I}}$  and  $\bar{\mathcal{O}}$  are **also compatible**.

A **morphism** is a continuous map  $f: X \rightarrow Y$  such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

# The Whole Smooth: Too Many Smooth Spaces Spoil The Category

## Lemma

$(X, \mathcal{I}, \mathcal{O})$  smooth space then  $(X, \bar{\mathcal{I}}, \bar{\mathcal{O}})$  also smooth space **and moreover**

$$1_X: (X, \mathcal{I}, \mathcal{O}) \rightarrow (X, \bar{\mathcal{I}}, \bar{\mathcal{O}})$$

is an isomorphism.

## Proof.

- $\bar{\bar{\mathcal{I}}} = \bar{\mathcal{I}}$  and  $\bar{\bar{\mathcal{O}}} = \bar{\mathcal{O}}$
- $\phi\psi \in C^\infty$  for  $\phi \in \bar{\mathcal{O}}$ ,  $\psi \in \mathcal{I}$  and for  $\phi \in \mathcal{O}$ ,  $\psi \in \bar{\mathcal{I}}$  □

## Third Candidate

### Definition (Third Attempt)

A **smooth space** is a triple  $(X, \mathcal{I}, \mathcal{O})$  where:

- ▶  $X$  is a **topological space**
- ▶  $\mathcal{I}(U) \subseteq \text{Top}(U, X)$ ,  $U \subseteq \mathbb{R}^m$  open,
- ▶  $\mathcal{O}(V; \mathbb{R}^m) \subseteq \text{Top}(V, \mathbb{R}^m)$ ,  $V \subseteq X$  open.

such that

- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **compatible**,
- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **saturated**:  $\mathcal{I} = \overline{\mathcal{I}}$ ,  $\mathcal{O} = \overline{\mathcal{O}}$ .

A **morphism** is a continuous map  $f: X \rightarrow Y$  such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

## Analogy

2nd Definition  
versus  
3rd Definition

smooth atlas  
versus  
maximal smooth atlas

## Detox

### Lemma

$(X, \mathcal{I}, \mathcal{O})$  is completely determined by

- ▶  $\mathcal{O}(V; \mathbb{R})$
- ▶  $\mathcal{I}(\mathbb{R})$

### Proof.

1.  $\phi: U \rightarrow \mathbb{R}^m$  is  $C^\infty$  if and only if each  $p_i \phi: U \rightarrow \mathbb{R}$  is  $C^\infty$ ,  $p_i$  projection
2.  $\psi: U \rightarrow \mathbb{R}^m$  is  $C^\infty$  if and only if each  $\psi \gamma: \mathbb{R} \rightarrow \mathbb{R}^m$  is  $C^\infty$ ,  $\gamma \in C^\infty(\mathbb{R}, U)$  [Boman's Theorem]  $\square$

## Fourth Candidate

### Definition (Fourth Attempt)

A **smooth space** is a triple  $(X, \mathcal{I}, \mathcal{O})$  where:

- ▶  $X$  is a **topological space**
- ▶  $\mathcal{I} \subseteq \text{Top}(\mathbb{R}, X)$ ,
- ▶  $\mathcal{O}(V) \subseteq \text{Top}(V, \mathbb{R})$ ,  $V \subseteq X$  open.

such that

- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **compatible**,
- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **saturated**:  $\mathcal{I} = \overline{\mathcal{I}}$ ,  $\mathcal{O} = \overline{\mathcal{O}}$ .

A **morphism** is a continuous map  $f: X \rightarrow Y$  such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

# Nothing But the Smooth: Chicken and Egg

## Question

Is “Smooth” built **on top** of “Continuous” or **alongside**?

- ▶ Topology **only** used for **local** functions.
- ▶ Can get topology from  $\mathcal{I}$  and  $\mathcal{O}$ .
- ▶ Topology from  $\mathcal{O}$  has **bump functions**.
- ▶ **Local** functions extend **globally**.

# Fifth Candidate

## Definition (Fifth Attempt)

A **smooth space** is a triple  $(X, \mathcal{I}, \mathcal{O})$  where:

- ▶  $X$  is a **set**
- ▶  $\mathcal{I} \subseteq \text{Set}(\mathbb{R}, X)$ ,
- ▶  $\mathcal{O} \subseteq \text{Set}(X, \mathbb{R})$ .

such that

- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **compatible**,
- ▶  $\mathcal{I}$  and  $\mathcal{O}$  are **saturated**:  $\mathcal{I} = \overline{\mathcal{I}}, \mathcal{O} = \overline{\mathcal{O}}$ .

A **morphism** is a map  $f: X \rightarrow Y$  such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{I}, \phi \in \mathcal{O}$$

# Fifth Candidate: Frölicher Space

## Definition (Frölicher Space)

A **Frölicher space** is a triple  $(X, \mathcal{C}, \mathcal{F})$  where:

- ▶  $X$  is a **set**
- ▶  $\mathcal{C} \subseteq \text{Set}(\mathbb{R}, X)$ ,
- ▶  $\mathcal{F} \subseteq \text{Set}(X, \mathbb{R})$ .

such that

- ▶  $\mathcal{C} = \{\psi: \mathbb{R} \rightarrow X : \phi\psi \in C^\infty(\mathbb{R}, \mathbb{R}), \phi \in \mathcal{F}\}$
- ▶  $\mathcal{F} = \{\phi: X \rightarrow \mathbb{R} : \phi\psi \in C^\infty(\mathbb{R}, \mathbb{R}), \psi \in \mathcal{C}\}$

A **morphism** is a map  $f: X \rightarrow Y$  such that

$$\phi f \psi \in C^\infty \text{ for } \psi \in \mathcal{C}, \phi \in \mathcal{F}$$

# Smootheology

## Theorem (Frölicher)

*The category of Frölicher spaces is a*

*complete, co-complete, cartesian closed category.*

Which was what we wanted!

## Conclusion (Part I)

By focussing on **morphisms** and looking for **simplicity** we found a natural path to **Frölicher spaces**.

## Comparative

- ▶ Frölicher spaces are not the only candidate.
  - ▶ Other versions:
 

K. T. Chen	4 versions!
J. M. Souriau	diffeological spaces
J. W. Smith	
R. Sikorski	
D. Spivak	derived smooth manifolds
J. Lurie	structured space
A. Kriegl and P. Michor	
M. Kreck	stratifold
H. Hofer	polyfold
	orbifold
	differentiable stack
- More?

## Comparative

### Use

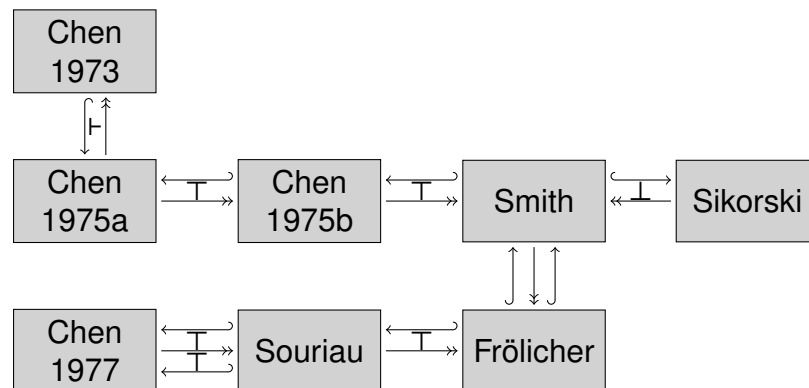
- ▶ local models
- ▶ test spaces
- ▶ both

In *Comparative Smootheology* looked at:

Test spaces + set-based

Frölicher, Chen, Souriau, Smith, Sikorski.

## Schematic



**Figure:** The relationships between the categories

## Characteristics of Frölicher Spaces

### Cons

- ▶ Not locally cartesian closed
- ▶ Not easy to make non-set based

# Characteristics of Frölicher Spaces

## Pros

- ▶ Inclusion of manifolds is limit and colimit preserving. (into *Hausdoff* Frölicher spaces)
- ▶ “Smallest” extension

# What Next?

- ▶ What of differential topology/geometry extends to Frölicher spaces?
- ▶ What extra structure is needed for those bits that don't extend?

## Example

Tangent “spaces” extend but are not vector spaces. Need extra structure to get addition.

# Conclusion

- ▶ **Frölicher spaces** arise by taking seriously the notion of a **morphism of smooth manifolds**.
- ▶ The various categories fit into a neat setting but are **distinct**. So may have **distinct** behaviour.
- ▶ Examining what does and does not extend sheds light on the role of **smoothness** in differential topology.

## Further Information

My homepage

*n*—lab