

# Class 14: Lindelöf hypothesis

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## Theorem (Approximation formula)

Let  $C > 1$  and  $\sigma_0 > 0$ . Then, for  $x \geq 1$ ,

$$\zeta(s) = \sum_{n \leq x} \frac{1}{n^s} - \frac{x^{1-s}}{1-s} + O_{\sigma_0, C}(x^{-\sigma}),$$

uniformly in  $0 < \sigma_0 \leq \sigma \leq 1$  and  $|t| < \frac{2\pi x}{C}$ .

## Lemma (Guinand-Weil explicit formula)

Let  $h(s)$  be analytic in the strip  $|\operatorname{Im} s| \leq \frac{1}{2} + \varepsilon$  for some  $\varepsilon > 0$ , and assume that  $|h(s)| \ll (1 + |s|)^{-(1+\delta)}$  for some  $\delta > 0$  when  $|\operatorname{Re} s| \rightarrow \infty$ . Then

$$\begin{aligned} \sum_{\rho} h\left(\frac{\rho - \frac{1}{2}}{i}\right) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} h(u) \left\{ \operatorname{Re} \frac{\Gamma'}{\Gamma} \left( \frac{1}{4} + \frac{iu}{2} \right) - \log \pi \right\} du \\ &\quad - \frac{1}{2\pi} \sum_{n \geq 2} \frac{\Lambda(n)}{\sqrt{n}} \left( \widehat{h} \left( \frac{\log n}{2\pi} \right) + \widehat{h} \left( \frac{-\log n}{2\pi} \right) \right) \\ &\quad + h\left(\frac{1}{2i}\right) + h\left(-\frac{1}{2i}\right) \end{aligned}$$

## Theorem (Montgomery-Vaughan)

Let  $\lambda_1, \lambda_2, \dots, \lambda_N$  be real numbers such that  $|\lambda_m - \lambda_n| \geq \delta_n > 0$  when  $m \neq n$ . Let  $a_1, a_2, \dots, a_N$  be complex numbers. Then

$$\left| \sum_{\substack{m, n=1 \\ n \neq m}}^N \frac{a_m \bar{a}_n}{\lambda_m - \lambda_n} \right| \leq C \sum_{n=1}^N \frac{|a_n|^2}{\delta_n}.$$

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- 4 Conjecture:  $\pi$ .



Therefore, for  $T \geq 2$  we have:

1 If  $\sigma = \frac{1}{2}$ :

$$\int_T^{2T} |\zeta(\frac{1}{2} + it)|^2 dt = T \log T + O(T).$$

2 If  $\frac{1}{2} < \sigma < 1$ :

$$\int_T^{2T} |\zeta(\sigma + it)|^2 dt = \zeta(2\sigma)T + O\left(\frac{T^{2-2\sigma}}{1-\sigma}\right).$$

3 If  $\sigma = 1$ :

$$\int_T^{2T} |\zeta(1 + it)|^2 dt = \zeta(2)T + O(\log T).$$

For  $T \geq 3$  we have:

$$\int_1^T |\zeta(\frac{1}{2} + it)|^2 dt = T \log T - (1 + \log 2\pi - 2\gamma)T + O(E(T)).$$

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- 5 Conjecture:  $E(T) \ll T^{1/4+\epsilon}$ .

Now, we want to bound  $\zeta(s)$  in the critical strip!



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Then, for  $\operatorname{Re} s \geq 1 + \delta > 1$ , we have

$$|\zeta(s)| \leq C_{\delta}.$$

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Then,  $s = \sigma + it$ , with  $\frac{1}{2} \leq \sigma \leq 1 + \delta$ ,  $|t| \geq 2$  we have

$$\zeta(s) = O(|t|).$$

Therefore, we conclude that for  $\sigma \geq \frac{1}{2}$ :

$$\zeta(s) = O(|t|).$$

Recalling the functional equation:

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Then, we write

$$\zeta(s) = \chi(s) \zeta(1-s),$$

where

$$\chi(s) = \frac{\pi^{-(1-2s)/2} \Gamma\left(\frac{1-s}{2}\right)}{\Gamma\left(\frac{s}{2}\right)}.$$

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using your favorite Stirling's formula: for a fixed  $\delta > 0$  and  $-\pi + \delta < \arg(s) < \pi - \delta$ , show that

$$\log \Gamma(s) = \left(s - \frac{1}{2}\right) \log s - s + \frac{1}{2} \log 2\pi + O(|s|^{-1}),$$

as  $|s| \rightarrow \infty$ .

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$$\log \Gamma(s) = \left(s - \frac{1}{2}\right) \log s - s + \frac{1}{2} \log 2\pi + O(|s|^{-1}),$$

as  $|s| \rightarrow \infty$ . Then, we get for any fixed strip  $\alpha \leq \sigma \leq \beta$ , as  $t \rightarrow \infty$ :

$$\chi(s) = \left(\frac{2\pi}{t}\right)^{\sigma+it-\frac{1}{2}} e^{i(t+\frac{\pi}{4})} \left(1 + O\left(\frac{1}{t}\right)\right).$$

Then, for  $\alpha \leq \sigma \leq \beta$ , as  $t \rightarrow \infty$ :

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and

$$\zeta(s) = O(|t|^{3/2+\delta}), \quad \text{for } \sigma \geq -\delta.$$

Therefore, for any semiplane  $\sigma \geq \sigma_0$  we have

$$|\zeta(s)| = O(|t|^k),$$

for some  $k$  depending on  $\sigma_0$ . This implies that  $\zeta(s)$  is a function of finite order in the sense of the theory of Dirichlet series.

For any  $\sigma$  we define  $\mu(\sigma)$  as the infimum of the values  $\xi$  such that

$$\zeta(\sigma + it) = O(|t|^\xi).$$



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Then:

$$\mu\left(\frac{1}{2}\right) \leq 1.$$

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### Theorem

Let  $C > 1$  and  $\sigma_0 > 0$ . Then, for  $x \geq 1$ ,

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uniformly in  $0 < \sigma_0 \leq \sigma \leq 1$  and  $|t| < \frac{2\pi x}{C}$ .

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we have

$$\zeta\left(\frac{1}{2} + it\right) = \sum_{n \leq t} \frac{1}{n^{\frac{1}{2} + it}} - \frac{t^{\frac{1}{2} - it}}{\frac{1}{2} - it} + O(t^{-\frac{1}{2}}).$$

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This implies that

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Let  $f : \Omega \rightarrow \mathbb{C}$  be a holomorphic function such that  $\Omega$  contains the strip  $\sigma_1 \leq \operatorname{Re} s \leq \sigma_2$ . Suppose that  $f(\sigma + it) = O(e^{\varepsilon|t|})$  in the strip  $\sigma_1 \leq \operatorname{Re} s \leq \sigma_2$ , for every  $\varepsilon > 0$ . Suppose that  $f(\sigma_1 + it) = O(|t|^{k_1})$  and  $f(\sigma_2 + it) = O(|t|^{k_2})$ . Then, we have

$$f(\sigma + it) = O(|t|^{k(\sigma)}),$$

uniformly for  $\sigma_1 \leq \sigma \leq \sigma_2$ , where  $k(\sigma)$  is the linear function of  $\sigma$  which takes the values  $k_1$  and  $k_2$  for  $\sigma = \sigma_1$  and  $\sigma = \sigma_2$  respectively.

We apply this result for  $\zeta(\sigma + it)$ . Let  $\sigma_1 \leq \sigma \leq \sigma_2$ . We have that  $\zeta(\sigma_1 + it) = O(|t|^{\mu(\sigma_1) + \varepsilon})$  and  $\zeta(\sigma_2 + it) = O(|t|^{\mu(\sigma_2) + \varepsilon})$ . Then, for  $\sigma_1 \leq \sigma \leq \sigma_2$ :

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Therefore, for  $\sigma_1 \leq \sigma \leq \sigma_2$ :

$$\mu(\sigma) \leq \frac{(\sigma_2 - \sigma)\mu(\sigma_1) + (\sigma - \sigma_1)\mu(\sigma_2)}{\sigma_2 - \sigma_1}.$$

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Therefore, for  $\sigma_1 \leq \sigma \leq \sigma_2$ :

$$\mu(\sigma) \leq \frac{(\sigma_2 - \sigma)\mu(\sigma_1) + (\sigma - \sigma_1)\mu(\sigma_2)}{\sigma_2 - \sigma_1}.$$

We conclude that  $\mu(\sigma)$  is a convex function.

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- 5**  $\mu(\sigma) = \frac{1}{2} - \sigma$ , for  $\sigma \leq 0$ .
- 6**  $\mu$  is a decreasing function.

In particular, using the fact that  $\mu(0) = \frac{1}{2}$  and  $\mu(1) = 0$ , it follows for  $0 < \sigma < 1$ :

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This is called: **Convexity bound**

# Lindelöf hypothesis-1908

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$$\left| \zeta\left(\frac{1}{2} + it\right) \right| = O(|t|^\varepsilon).$$

$\mu(1/2) \leq$	$\mu(1/2) \leq$	Author	
1/4	0.25	Lindelöf (1908)	Convexity bound
1/6	0.1667	Hardy, Littlewood & ?	
163/988	0.1650	Walfisz (1924)	
27/164	0.1647	Titchmarsh (1932)	
229/1392	0.164512	Phillips (1933)	
	0.164511	Rankin (1955)	
19/116	0.1638	Titchmarsh (1942)	
15/92	0.1631	Min (1949)	
6/37	0.16217	Haneke (1962)	
173/1067	0.16214	Kolesnik (1973)	
35/216	0.16204	Kolesnik (1982)	
139/858	0.16201	Kolesnik (1985)	
32/205	0.1561	Huxley (2002, 2005)	
53/342	0.1550	Bourgain (2017)	
13/84	0.1548	Bourgain (2017)	

Riemann hypothesis implies Lindelöf hypothesis



The next class with Bondarenko!