

# Duality

DEF:  $\mathcal{C}, \mathcal{D}$  ( $R$ -) categories,  $F: \mathcal{C} \rightarrow \mathcal{D}$   
 ~~$R$ -) functor~~ a contravariant ( $R$ -) functor  
 Then  $F$  is a duality, if there exists  
 a contravariant ( $R$ -) functor  
 $H: \mathcal{D} \rightarrow \mathcal{C}$  such that

$$HF \cong \text{id}_{\mathcal{C}} \quad \text{and} \quad FH \cong \text{id}_{\mathcal{D}}$$

as ( $R$ -) functors.

$k$  field,  $V$  vector space

$$D = \text{Hom}_k(-, k): \text{Vec}(k) \rightarrow \text{Vec}(k)$$

is a contravariant functor.

Assume that  $\dim_k V = t < \infty$ . If  $\{v_i\}_{i=1}^t$

is a  $k$ -basis for  $V$ , then  $\{v_i^*\}_{i=1}^t$ ,

where  $v_i^* \in D(V) = \text{Hom}_k(V, k)$  is given

$$\text{by } v_i^* \left( \sum_{j=1}^t a_j v_j \right) = a_i, \quad a_j \in k,$$

is a basis for  $D(V)$  (the dual basis)

$$\Rightarrow \dim_k V = \dim_k D(V) = \dim_k DD(V) \quad (82)$$

Define  $\varphi_V: V \rightarrow DD(V) = \text{Hom}_k(\text{Hom}_k(V, k), k)$

by  $v \mapsto \varphi_V(v): \text{Hom}_k(V, k) \rightarrow k$

where  $\varphi_V(v)(f) = f(v)$  for  $f \in D(V)$

Exercise: (a)  $\varphi_V$  is  $\uparrow$ - $\downarrow$ .

( $\Rightarrow \varphi_V$  is an isomorphism for  $\dim_k V < \infty$ )

(b)  $\varphi = \{\varphi_V\}_{V \in \text{vec}(k)}: \text{id}_{\text{vec}(k)} \rightarrow DD$   
 is an isomorphism of functors.

Check: (a)  $\uparrow$  fun. dim  $k$ -alg

For  $X \in \text{mod } \Lambda$ , then

$D(X) = \text{Hom}_k(X, k)$  is a left  $\Lambda^{\text{op}}$  module

via  $f \leftarrow \lambda \in \Lambda^{\text{op}}, x \in X$

$$(\lambda \cdot f)(x) = f(\lambda x)$$

for  $f \in D(X)$ ,  $\lambda \in \Lambda^{\text{op}}$  and  $x \in X$ .

(b)  $f: X \rightarrow Y \in \text{mod } \Lambda$ . Then

$$D(f): D(Y) = \text{Hom}_k(Y, k) \rightarrow \text{Hom}_k(X, k) = D(X)$$

$$g \longmapsto g \circ f$$

$\rho$  is a  $\Lambda^{\mathcal{P}}$ -homomorphism.

$$\Rightarrow D = \text{Hom}_k(-, k) : \text{mod } \Lambda \rightarrow \text{mod } \Lambda^{\mathcal{P}}$$

$$\text{and } D = \text{Hom}_k(-, k) : \text{mod } \Lambda^{\mathcal{P}} \rightarrow \text{mod } \Lambda$$

[Note:  $X$  fin. gen.  $\Lambda$ -module  $\Rightarrow \dim_k X < \infty$   
 $\Rightarrow \dim_k D(X) < \infty$   
 $\Rightarrow D(X)$  fin. gen.  $\Lambda^{\mathcal{P}}$ -module]

### Proposition 51

$\Lambda$  fin. dim  $k$ -alg,  $k$  field.

Then  $D = \text{Hom}_k(-, k) : \text{mod } \Lambda \rightarrow \text{mod } \Lambda^{\mathcal{P}}$   
 is a duality.  $\square$

$\Lambda = k\Gamma / \langle \rho \rangle$  - fin. dim  $k$ -alg,  $k$  field  
 $D$  induces a duality on representations?

$$\text{Rep}(\Gamma, \rho) \ni (V, f) \xrightarrow{\quad} F(V, f) \in \text{mod } \Lambda$$

$$\text{Rep}(\Gamma^{\mathcal{P}}, \rho^{\mathcal{P}}) \ni H(D(F(V, f))) \xleftarrow{\quad} DF(V, f) \in \text{mod } \Lambda^{\mathcal{P}}$$

$(\Gamma, \rho)$ ,  $\mathcal{J}^t \subseteq \langle \rho \rangle \in \mathcal{J}^t$ ,  $\Gamma_0 = \{1, 2, \dots, n\}$  (83)

Define  $(\Gamma^{\mathcal{P}}, \rho^{\mathcal{P}})$  by

$$\Gamma_0^{\mathcal{P}} = \Gamma_0$$

$\Gamma_1^{\mathcal{P}}$ : for each arrow  $\alpha : i \rightarrow j$  in  $\Gamma_1$ ,  
 there is an arrow  $\alpha^{\mathcal{P}} : j \rightarrow i$  in  $\Gamma_1^{\mathcal{P}}$ .

If  $\rho = \alpha_r \alpha_{r-1} \dots \alpha_2 \alpha_1$  is a path  $\Gamma$ , let  
 $\rho^{\mathcal{P}} = \alpha_1^{\mathcal{P}} \alpha_2^{\mathcal{P}} \dots \alpha_{r-1}^{\mathcal{P}} \alpha_r^{\mathcal{P}}$

in  $\Gamma_0^{\mathcal{P}}$ . Then  $\Lambda^{\mathcal{P}} \cong k\Gamma^{\mathcal{P}} / \langle \rho^{\mathcal{P}} \rangle$  and  
 $\text{Rep}(\Gamma^{\mathcal{P}}, \rho^{\mathcal{P}})$  is equivalent to  $\text{mod } \Lambda^{\mathcal{P}}$ .

Let  $(V, f) \in \text{Rep}(\Gamma, \rho)$ . Then  $F(V, f) \in \text{mod } \Lambda$   
 and  $DF(V, f) \in \text{mod } \Lambda^{\mathcal{P}}$ . Underlying vector  
 space of  $DF(V, f)$  is

$$D\left(\bigoplus_{i=1}^n V(i)\right).$$

$$HD(F(V, f)) = (V', f') :$$

$$V'(i) = e_i^{\mathcal{P}} D(F(V, f)) = e_i^{\mathcal{P}} \text{Hom}_k\left(\bigoplus_{j=1}^n V(j), k\right)$$

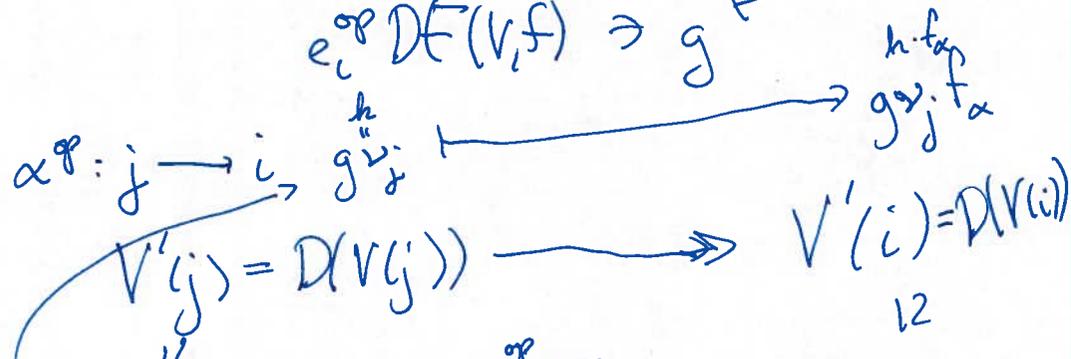
$$v_j : V_j \hookrightarrow \bigoplus_{l=1}^n V(l), \quad g \in \text{Hom}_k\left(\bigoplus_{i=1}^n V(i), k\right)$$

Then

$$\begin{aligned}
 (e_i \otimes g)(v_1, v_2, \dots, v_n) &= g(e_i(v_1, v_2, \dots, v_n)) \\
 &= g(0, \dots, 0, v_i, 0, \dots, 0) \\
 &= g v_i(v_i)
 \end{aligned}$$

$$e_i \otimes g \rightsquigarrow g v_i \in DV(i) = \text{Hom}_k(V(i), k)$$

$$V'(i) = D(V(i)) = \text{Hom}_k(V(i), k) \xrightarrow{g v_i}$$



$$\begin{aligned}
 (\alpha \otimes g)(v) &= g(\alpha \cdot v) = g(\alpha(v_1, v_2, \dots, v_n)) \\
 &= g(0, \dots, 0, f_{\alpha}(v_i), 0, \dots, 0) \\
 &\quad \uparrow \text{j-th coord.} \\
 &= g v_j(f_{\alpha}(v_i))
 \end{aligned}$$

$$\begin{aligned}
 f'_{\alpha \otimes g} : V'(j) &\xrightarrow{D(Vg)} D(V(i)) = V'(i) \\
 &\stackrel{\parallel}{=} D(f_{\alpha})
 \end{aligned} \tag{84}$$

$$\Rightarrow (V', f') = \left( \{D(V(i))\}_{i=1}^n, \{D(f_{\alpha})\}_{\alpha \in P_i}\right).$$

Exercise:  $V, W$  fin. dim vector spaces  
 $B, B'$  basis for  $V$  and  $V'$ , respectively  
 $B^*, B'^*$  dual basis for  $D(V)$  and  $D(W)$   
 let  $f: V \rightarrow W$ . Suppose that

$$m_{B'}^{B'}(f) = A$$

Then for  $D(f): D(W) \rightarrow D(V)$   
 we have the matrix representation

$$m_{B'^*}^{B^*}(D(f)) = A^T$$

for the dual map  $D(f)$ .

Example

$\rho: 1 \xrightarrow{\alpha} 2 \xrightarrow{\beta} 3, \rho = \{\gamma(\beta)\}, \Lambda = k[\Gamma/\langle \rho \rangle], k \text{ field}$

$\rho^{\text{op}}: 1 \xleftarrow{\alpha^{\text{op}}} 2 \xleftarrow{\beta^{\text{op}}} 3, \rho^{\text{op}} = \{\beta^{\text{op}}\gamma^{\text{op}}\}$

$\Lambda \bar{e}_1: k \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} k^2 \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} k \quad D(\Lambda \bar{e}_1): k \xleftarrow{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} k^2 \xleftarrow{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} k$

$\Lambda \bar{e}_2: 0 \xrightarrow{0} k \xrightarrow{1} k \quad D(\Lambda \bar{e}_2): 0 \xleftarrow{0} k \xleftarrow{1} k$

$\Lambda \bar{e}_3: 0 \xrightarrow{0} 0 \xrightarrow{0} k \quad D(\Lambda \bar{e}_3): 0 \xleftarrow{0} 0 \xleftarrow{0} k$

Lemma 52  $\Lambda$  fin. dim  $k$ -alg,  $k$  field

(a)  $\eta: 0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  exact in mod  $\Lambda$

$\Leftrightarrow 0 \rightarrow D(C) \xrightarrow{D(g)} D(B) \xrightarrow{D(f)} D(A) \rightarrow 0$  exact in mod  $\Lambda^{\text{op}}$ .

(b)  $S$  simple  $\Lambda$ -module  $\Leftrightarrow D(S)$  simple  $\Lambda^{\text{op}}$ -module

(c)  $l(A) = l(D(A))$  for  $A \in \text{mod } \Lambda$ .

Sketch of proof: (a) Use that  $\eta$  splits as a sequence of  $k$ -modules, that  $D$  preserves  $k$ -dimension and that

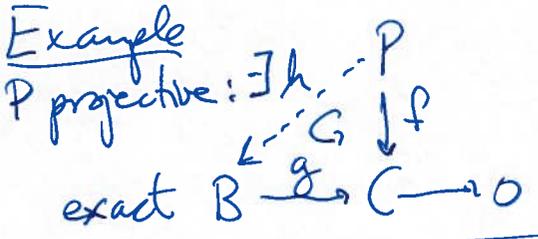
$D^2 \approx \text{id}_{\text{mod } \Lambda}$

(b) Use (a).

(c) Induction on length. □

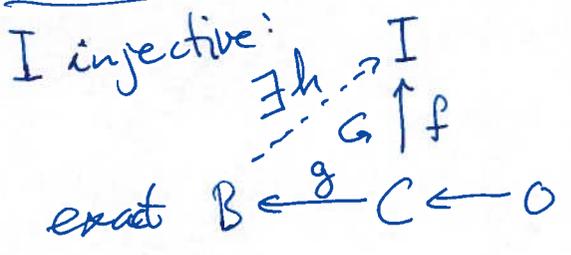
Given a statement  $S$  in a category  $\mathcal{C}$ , then the dual statement  $S^*$  is the statement about  $\mathcal{C}$  reversing the direction of all morphisms and replacing all compositions  $\alpha\beta$  of morphisms by  $\beta\alpha$ .

Example



$\forall g, \forall f \Rightarrow \left\{ \begin{array}{l} \exists h: P \rightarrow B \\ \text{such that} \\ gh = f \end{array} \right.$

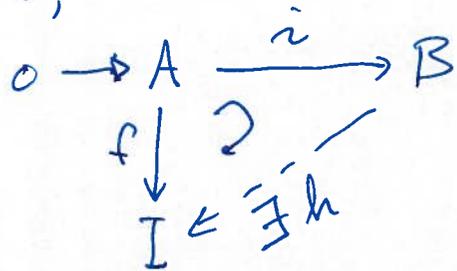
$I$  injective:



$\forall g, \forall f \Rightarrow \left\{ \begin{array}{l} \exists h: B \rightarrow I \\ \text{such that} \\ hg = f \end{array} \right.$

# Injective modules

DEF:  $\Lambda$  ring,  $I \in \text{Mod } \Lambda$ . Then  $I$  is injective if for every monomorphism  $i: A \rightarrow B$  in  $\text{Mod } \Lambda$  and every homomorphism  $f: A \rightarrow I$ , there exists  $h: B \rightarrow I$  such that  $hi = f$ , i.e.



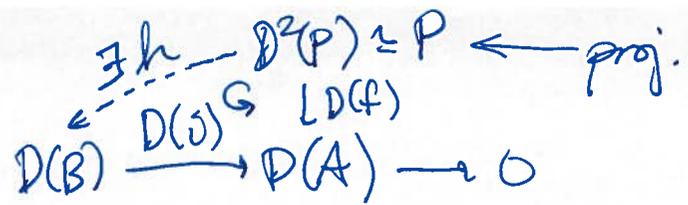
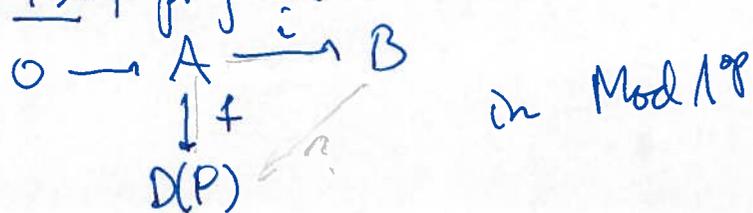
Proposition 53  $\Lambda$  fin. dim  $k$ -alg,  $k$ -field.

$P \in \text{mod } \Lambda$

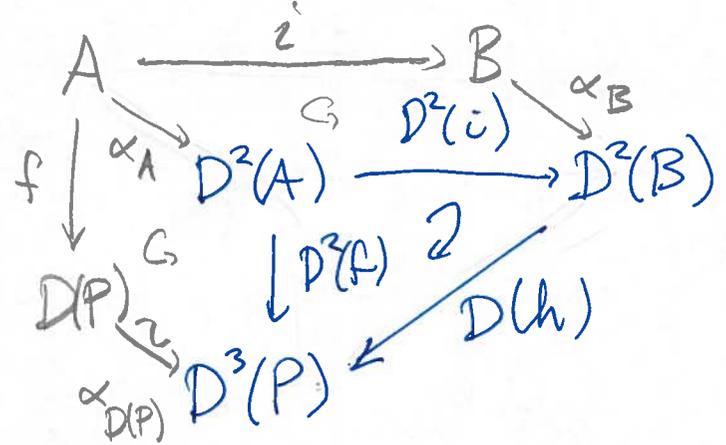
(a)  $P$  projective  $\Lambda$ -module  $\Leftrightarrow D(P)$  injective  $\Lambda^{\text{op}}$ -module

(b) Any  $\Lambda$ -module  $M \in \text{mod } \Lambda$  is a submodule of an injective  $\Lambda$ -module in  $\text{mod } \Lambda$ .

Proof: (a)  $\Rightarrow$ :  $P$  projective. Consider



Apply  $D$



$$\Rightarrow \alpha_{D(P)} f = D(h) \alpha_B i$$

$$\alpha_{D(P)}^{-1} \cdot \mid f = \alpha_{D(P)}^{-1} \alpha_{D(P)} f = (\alpha_{D(P)}^{-1} D(h) \alpha_B) i$$

$\Rightarrow D(P) \in \text{mod } \Lambda^{\text{op}}$  is injective.

$\Leftarrow$ : Baer Criterion &  $\Lambda$  noetherian  $\Rightarrow$  Can restrict to fin. gen. modules

Use dual arguments.

(b) Let  $M \in \text{mod } \Lambda$ . Then  $D(M) \in \text{mod } \Lambda^{\text{op}}$ . Let  $P \rightarrow D(M)$  be the proj. cover of  $D(M)$

Then  $M \xrightarrow{\alpha_M} D^2(M) \xrightarrow{D^2(i)} D(P) \in \text{mod } \Lambda$   
 $\uparrow$  injective  $\Lambda$ -module  $\square$

Remark:  $\Lambda$  ring,  $M \in \text{Mod } \Lambda$

Can be shown:  $M \hookrightarrow I$ ,  $I$  injective  $\Lambda$ -module.

However; Even if  $M \subseteq A$  a fin. gen  $\Lambda$ -module  
 $I$  need not to be fin. gen.  $\Lambda$ -module

( $\mathbb{Z}$ -modules =  $A_b$ :  $\mathbb{Z} \hookrightarrow \mathbb{Q}$ )

DEF:  $\Lambda$  ring

(a)  $A \subseteq X$   $\Lambda$ -modules. Then  $A$  is an essential submodule of  $X$ , if for each non-zero submodule  $B$  of  $X$ , then  $A \cap B \neq (0)$ .

(b) A monomorphism  $i: A \rightarrow X$  is essential if  $i(A)$  is an essential submodule of  $X$ .

(c) A monomorphism  $i: A \rightarrow I$  is an injective envelope if

(i)  $I$  is injective.

(ii)  $i$  is an essential monomorphism.

Can be shown: (MA3204)  $\Lambda$  ring (87)

Every  $\Lambda$ -module has an injective envelope

DEF:  $\Lambda$  artin  $R$ -algebra,  $A \in \text{mod } \Lambda$ . The socle of  $A$ ,  $\text{soc } A$ , is the sum of all simple submodules of  $A$ .

Example

$\Gamma$ :  $\begin{matrix} & 1 & \\ & \swarrow & \searrow \\ 2 & & 3 \end{matrix}$ ,  $k$  field,  $\Lambda = k\Gamma$

$\Lambda e_1 \cong \begin{matrix} & k & \\ & \swarrow & \searrow \\ k & & k \end{matrix} \cong \begin{matrix} 0 & & 0 \\ \swarrow & & \searrow \\ k & 0 & 0 \end{matrix} + \begin{matrix} 0 & & 0 \\ \swarrow & & \searrow \\ k & & k \end{matrix} = \Lambda e_2 \oplus \Lambda e_3$   
" " " "  $\text{soc } \Lambda e_1$

Note: (1) In general,  $\text{soc } S = S$ , when  $S$  is a (semi)simple  $\Lambda$ -module.

(2)  $\text{soc } A \subseteq A$  is  $\left\{ \begin{array}{l} \text{a submodule of } A \\ \text{a semisimple submodule of } A \end{array} \right.$

(3)  $\Lambda$  artin- $R$ -alg,  $A \in \text{mod } \Lambda$ ,  $A \neq (0)$

Since  $l(A) < \infty$ , then  $\exists$  a simple  $\Lambda$ -submodule  $S \subseteq A$ , that is,  $\text{soc } A \neq (0)$ . Furthermore,  $A \neq (0) \Leftrightarrow \text{soc } A \neq (0)$ , and  $D(A/rA) \cong \text{soc } DA$ .



Assume that  $\ker \varphi \neq (0)$ .

$$\Rightarrow A \cap \ker \varphi \neq (0)$$

$$\Rightarrow \exists a \in A \setminus \{0\} \text{ s.t. } 0 = \varphi(a) = \varphi v_1(a) = v_2(a)$$

$$\downarrow$$

$$a=0 \quad \text{X}$$

$$\Rightarrow \ker \varphi = (0) \Rightarrow I_1 \cong \text{Im } \varphi \subseteq I_2$$

↑ injective.

Recall:  $0 \rightarrow I \hookrightarrow B \rightarrow C \rightarrow 0$  exact  
&  $I$  injective  
 $\Rightarrow B = I \oplus B'$

$$\Rightarrow I_2 = \text{Im } \varphi \oplus I_2'$$

$$\Rightarrow A \xrightarrow{v_2 = \varphi v_1} I_2 = \text{Im } \varphi \oplus I_2'$$

and  $\text{Im } v_2 \subseteq \text{Im } \varphi v_1$ .

$$\Rightarrow A \cap I_2' = (0)$$

$A$  essential submodule of  $I_2$

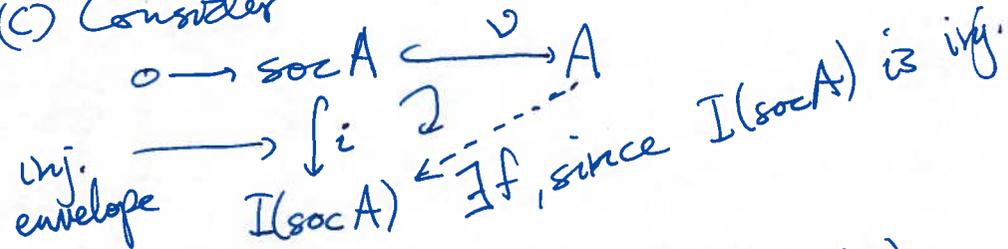
$$\Rightarrow I_2' = (0) \text{ and } \text{Im } \varphi = I_2$$

$\Rightarrow \varphi$  is an isom and therefore inj.

envelopes are ~~isomorphic~~ unique up to (89)

isomorphism,

(c) Consider



•  $f v = i \downarrow -1 \Rightarrow \ker f \cap \text{soc } A = (0)$

$\text{soc } A \hookrightarrow A$  ess.  $\Rightarrow \ker f = (0) \Rightarrow f \downarrow -1$ .

• Let  $(0) \neq A' \subseteq I(\text{soc } A)$ . WTS:  $f(A) \cap A' \neq (0)$ .

Have:  $f(A) \cap A' \supseteq \text{soc } A \cap A' \neq (0)$ , since

$\text{soc } A$  is an ess. submodule of  $I(\text{soc } A)$ .

$\Rightarrow f(A)$  ess. submodule of  $I(\text{soc } A)$

$\Rightarrow A \xrightarrow{f} I(\text{soc } A)$  inj. envelope.  
 $\text{rad } 1 = \underline{r}$  □

Lemma 56  $A$  artin  $R$ -algebra,  $A \in \text{mod } R$

$$\text{soc } A = \{a \in A \mid \underline{r} \cdot a = (0)\} = S_A$$

Proof:  $\text{soc } A$  semisimple  $\Rightarrow \underline{r} \cdot \text{soc } A = (0)$

$$\Rightarrow \text{soc } A \subseteq S_A$$

$S_A$  is a submodule of  $A$ .

$E \cdot S_A = (0) \Rightarrow S_A$  is a semisimple submodule of  $A$   
 $\Rightarrow S_A \subseteq \text{soc } A \Rightarrow \text{soc } A = S_A$ .

Exercise:  $\Lambda$  artin  $k$ -algebra,  $A_1, A_2 \in \text{mod } \Lambda$

(a)  $\text{soc } A \cong \text{Hom}_\Lambda(\Lambda/\mathfrak{r}, A)$

(b)  $\text{soc}(A_1 \oplus A_2) = \text{soc } A_1 \oplus \text{soc } A_2$

Proposition 57  $\Lambda$  fin. dim  $k$ -alg,  $k$ -field.

$P \xrightarrow{f} A$  is a proj. cover in  $\text{mod } \Lambda \Leftrightarrow \begin{cases} D(A) \xrightarrow{D(f)} D(P) \text{ is an} \\ \text{injective envelope} \\ \text{in } \text{mod } \Lambda^{\text{op}}. \end{cases}$

"Proof": Use  $X \in \text{mod } \Lambda \Rightarrow \text{soc } D(X) \cong D(X/\mathfrak{r}X)$

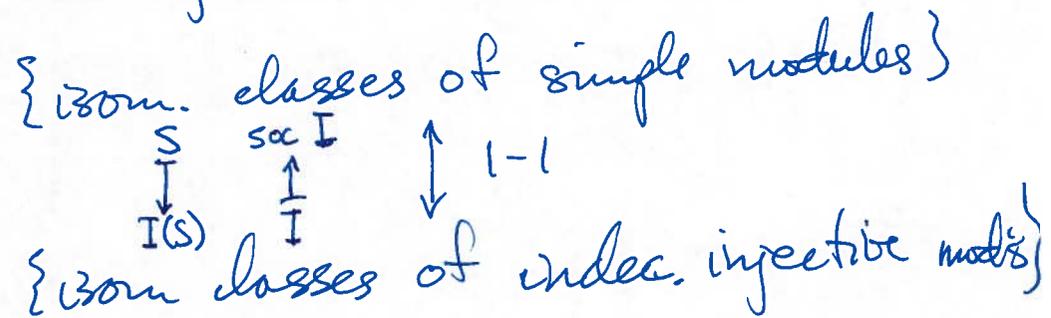
Using duality one can show for  $\Lambda$  a fin. dim  $k$ -alg,  $k$ -field.

(a)  $A, B \in \text{mod } \Lambda \Rightarrow I(A \oplus B) \cong I(A) \oplus I(B)$

(b)  $I$  injective in  $\text{mod } \Lambda$

$I$  indecomposable  $\Leftrightarrow \text{soc } I$  simple (90)

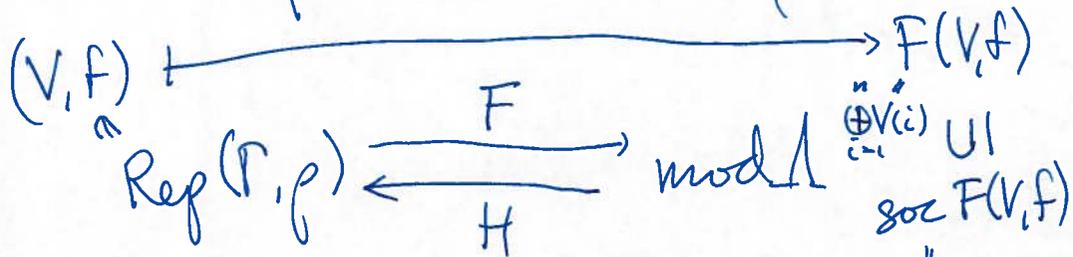
(c) There is a 1-1 correspondence between isomorphism classes of simple  $\Lambda$ -modules and isomorphism classes of indecomposable injective  $\Lambda$ -modules:



The socle of a representation

$(\Gamma, \rho)$  quiver with relations  $\rho, k$  field  
 $J^t \in \langle \rho \rangle \in J^2, \Gamma_0 = \{1, 2, \dots, n\}$ .

$\Lambda = k\Gamma / \langle \rho \rangle, \Sigma = \text{rad} \Lambda = J / \langle \rho \rangle \subseteq \Lambda$



$m = (v_1, v_2, \dots, v_n) \in F(V, f) \quad \{m \in F(V, f) \mid \Sigma \cdot m = (0)\}$

$m \in \text{soc } F(V, f) \Leftrightarrow \bar{\alpha} \cdot m = 0, \forall \alpha: i \rightarrow j \in \Gamma$   
 since  $\Sigma = J / \langle \rho \rangle, (J = \langle \text{arrows} \rangle)$

$\Leftrightarrow (0, \dots, 0, \underset{\uparrow j\text{th}}{f_\alpha(v_i)}, 0, \dots, 0) = 0, \forall \alpha: i \rightarrow j \in \Gamma$

$\Leftrightarrow f_\alpha(v_i) = 0, \forall \alpha: i \rightarrow j \in \Gamma$

$\Leftrightarrow v_i \in \bigcap_{\alpha: i \rightarrow j \in \Gamma} \text{Ker } f_\alpha, \forall i = 1, 2, \dots, n$

$H(\text{soc } F(V, f)) = ?$

Let  $V'(i) = \bigcap_{\alpha: i \rightarrow j} \text{Ker } f_\alpha \subseteq V(i),$  (91)  
 and let  $f'_\alpha = f_\alpha / V'(i) = 0.$

Example

$\Gamma: 1 \xrightarrow{\alpha} 2 \xrightarrow{\sigma} 3, \rho = \{\sigma\beta\}, k$  field  
 $\Lambda = k\Gamma / \langle \rho \rangle$

