

### Exercise set 3

For TMA4230 Functional analysis

2006–04–01

**Exercise 3.1.** Let  $X$  and  $Y$  be topological spaces. Show that a function  $f: X \rightarrow Y$  is continuous if and only if  $f^{-1}(F)$  is closed in  $X$  for every closed  $F \subseteq Y$ .

**Exercise 3.2.** We have defined compactness of  $X$  in terms of open covers of  $X$ , which are sets of open subsets of  $X$  covering  $X$ .

Instead, consider now a subset  $K$  of a topological space  $X$ . Then  $K$  with the topology inherited from  $X$  is a topological space in its own right, so we can ask if  $K$  is compact or not.

If we define an open cover of  $K$  to be a set of open subsets of  $X$  whose union contains  $K$ , prove that  $K$  is compact if and only if every open cover of  $K$  has a finite subcover (of  $K$ ). (The point here is that compactness of  $K$  is defined in terms of open covers of  $K$ , as consisting of subsets of  $K$  which are open in the inherited topology.)

**Exercise 3.3.** Show that any closed subset of a compact space is compact.

**Exercise 3.4.** Show that any compact subset of a Hausdorff space is closed. (But note: If  $X$  is the space  $\{0, 1\}$  with topology  $\{\emptyset, \{0\}, \{0, 1\}\}$  then  $\{0\}$  is compact but not closed. Of course,  $X$  is not Hausdorff either.)

**Exercise 3.5.** Let  $X$  be a set and  $\mathcal{B}$  a set of subsets of  $X$ . Let  $\mathcal{B}'$  be the set of all finite intersections from  $\mathcal{B}$ :

$$\mathcal{B}' = \{B_1 \cap \cdots \cap B_n : B_1, \dots, B_n \in \mathcal{B}; n = 0, 1, 2, \dots\}$$

with the understanding that  $B_1 \cap \cdots \cap B_n = X$  when  $n = 0$ . Let  $\mathcal{T}$  consist of all possible unions of members of  $\mathcal{B}'$ . Show that  $\mathcal{T}$  is a topology; in fact, it is the weakest topology containing  $\mathcal{B}$ . It is said to be the topology *generated* by  $\mathcal{B}$ . Also,  $\mathcal{B}$  is said to be a *basis* for  $\mathcal{T}$ .

**Exercise 3.6.** Let  $X$  be a real topological vector space, not necessarily locally convex. Show that the intersection of all convex neighbourhoods of  $0$  is a (vector) subspace of  $X$ . Call this space  $Z$ .

Show that any continuous linear functional on  $X$  vanishes on  $Z$  (in other words, if  $f$  is a continuous linear functional and  $z \in Z$  then  $f(z) = 0$ .) *Hint:* If  $V$  is any neighbourhood of  $0$ , then so is  $\alpha V$  whenever  $\alpha > 0$ .

Show that  $Z$  is closed. In fact, show that if  $x \in X \setminus Z$  then there is a convex neighbourhood of  $x$  that does not meet  $Z$  (we say two sets meet if they have a nonempty intersection).

Use Hahn–Banach separation to show that, if  $x \in X \setminus Z$  then there is a continuous linear functional on  $X$  with  $f(x) \neq 0$ .

**Exercise 3.7.** Let  $0 < p < 1$  and let  $X = L^p[0, 1]$ : The  $L^p$  space on the unit interval with Lebesgue measure. The  $L^p$  “norm” is not really a norm in this case, since it fails to satisfy the triangle inequality. Instead, we can make a metric  $d_p$  on  $L^p$  by

$$d_p(u, v) = \|u - v\|_p^p = \int_0^1 |u - v|^p dx.$$

Show that  $d_p$  is a metric. *Hint:* It is enough to show  $|u + v|^p \leq |u|^p + |v|^p$  and then integrate this inequality. Since  $|u + v| \leq |u| + |v|$ , it is sufficient to show the inequality when  $u, v \geq 0$ . That is, show that  $(u + v)^p \leq u^p + v^p$  for  $u, v \geq 0$ . This is an equality for  $v = 0$ . Differentiate wrt  $v$ .

It can be shown that  $L^p$  is complete in this metric, and the topology induced by this metric makes  $L^p$  into a topological vector space as well. (You are not expected to show this, but you are welcome to do it anyway.)

Show that the subspace  $Z$  defined in the previous problem is all of  $X$ , and conclude from this that the only continuous linear functional on  $Z$  is the zero functional. *Hint:* If  $u \in L^p$  with  $\|u\|_p = 1$ , then for any  $n$  divide  $[0, 1]$  into  $n$  subintervals  $[t_{j-1}, t_j]$  so that  $\int_{t_{j-1}}^{t_j} |u|^p dt = 1/n$  for each  $j$ . Then  $u = (u_1 + \cdots + u_n)/n$  where  $u_j = n\chi_{[t_{j-1}, t_j]} u$ . Show that  $\|u_j\|_p^p = n^{p-1}$ , and derive the desired result from this.